statistical bulletin

july 1980
vol. 39 no. 7

Securities and Exchange Commission
Washington, D. C. 20549

ANNOUNCEMENTS AND SPECIAL NOTICES

Securities Offering Statistics Revisions

Monthly estimates of primary corporate securities for 1975-1978 have been revised and expanded to include publicly offered issues offered in interstate commerce that are exempt from the registration requirements of the Securities Act of 1933. (See Explanatory Notes for offering tables in this issue.) Data for the years 1965-1974 will be revised to include public offerings of exempt securities and a notice will appear in this publication when these revised data are available.

Annual data for 1975-1979 and monthly data for 1978 and 1979 are published in this issue. Monthly data for 1975-1977 can be obtained by calling the Associate Editor (202 523-5626).

An additional column entitled Public Exempt Offerings has been added to Table M-130 — Corporate Securities by Type of Offering — listing the dollar volume and number of such issues included in the offering statistics. These data are not shown separately in the other offering tables, but are aggregated with other offerings depending on the type of security and industry of the issuer.

JULY 1980

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Inquiries concerning these data should be directed to
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M-120: GROSS PROCEEDS FROM PRIMARY CORPORATE SECURITIES OFFERINGS, BY INDUSTRY: 1974-1979

	Total	Manufac-turing	Extrac-tive	Electric Gas And Water	Trans-portation	Commu-nication	Sales And Consumer Finance	Financial And Real Estate	Commer-cial And Other	Foreign Corporate Included
				Amounts in $ millions						
1974	37,729	10,408	970	12,837	1,005	3,930	1,377	5,402	1,802	540
1975	53,629	18,651	1,623	15,894	3,638	4,464	1,010	5,918	2,428	1,287
1976	53,314	15,496	1,762	14,418	4,649	3,562	2,186	8,085	3,160	1,584
1977	54,229	13,757	2,684	13,704	3,218	4,443	2,046	10,617	3,765	1,347
1978	48,212	11,062	3,100	12,253	2,696	3,640	2,465	9,061	3,939	1,349
Year to date										
1978 Jan-Jan	3,486	465	329	644	312	529	100	972	136	295
1979 Jan-Jan	4,300	934	420	917	142	429	132	1,082	243	52
1978 Jan	3,486	465	329	644	312	529	100	972	136	295
Feb	2,520	755	99	531	95	34	384	531	91	0
Mar	5,010	1,341	187	1,258	246	291	508	862	317	118
Apr	3,456	606	142	618	399	75	95	1,136	335	12
May	4,594	958	90	1,913	273	0	431	555	374	145
Jun	5,544	1,561	343	1,272	403	349	334	849	432	184
Jly	4,122	843	500	791	275	376	136	977	225	84
Aug	3,271	740	278	875	113	552	34	401	277	40
Sep	4,178	1,101	184	1,334	168	215	96	594	487	323
Oct	4,151	516	430	1,626	67	302	150	541	518	10
Nov	3,253	847	53	746	108	457	79	793	170	30
Dec	4,627	1,328	465	645	236	460	118	850	526	108
1979 Jan	4,300	934	420	917	142	429	132	1,082	243	52
				Number of issues						
1974	1,922	538	141	355	55	105	69	341	318	61
1975	2,032	597	124	497	124	111	48	268	263	57
1976	2,128	617	120	410	155	78	74	316	358	53
1977	2,143	619	136	317	144	81	78	310	458	27
1978	2,149	594	167	305	129	78	81	336	459	28
Year to date										
1978 Jan-Jan	132	38	16	14	10	7	5	19	23	2
1979 Jan-Jan	184	52	17	29	8	8	7	24	39	3
1978 Jan	132	38	16	14	10	7	5	19	23	2
Feb	108	25	11	19	7	3	7	19	17	0
Mar	191	54	10	32	16	4	13	22	40	0
Apr	144	29	11	19	15	5	4	23	38	1
May	164	45	9	34	10	0	9	27	30	2
Jun	240	78	16	27	15	8	8	41	47	6
Jly	175	49	21	17	8	10	4	27	39	4
Aug	170	47	21	27	7	7	1	29	31	3
Sep	216	70	12	29	8	5	13	33	46	0
Oct	171	36	9	30	4	6	2	29	55	2
Nov	165	42	6	25	10	10	6	32	34	2
Dec	273	81	25	32	19	13	9	35	55	6
1979 Jan	184	52	17	29	8	8	7	24	39	3

Figures may not add due to rounding

(See Explanatory Notes)

M-120: CROSS PROCEEDS FROM PRIMARY CORPORATE SECURITIES OFFERINGS, BY INDUSTRY: 1975-1980

	Total	Manufac-turing	Extrac-tive	Electric Gas And Water	Trans-portation	Commu-nication	Sales And Consumer Finance	Financial And Real Estate	Commer-cial And Other	Foreign Corporate Included
				Amounts in $ millions						
1975	53,629	18,651	1,628	15,894	3,638	4,464	1,010	5,918	2,428	1,287
1976	53,314	15,496	1,762	14,418	4,649	3,562	2,186	8,085	3,160	1,584
1977	54,229	13,757	2,684	13,704	3,218	4,443	2,046	10,617	3,765	1,347
1978	48,212	11,062	3,100	12,253	2,696	3,640	2,465	9,061	3,939	1,349
1979r	53,015	11,552	3,192	13,687	3,294	4,694	2,584	10,284	3,737	1,008
Year to date										
1979 Jan-Jan	4,300	934	420	917	142	429	132	1,082	243	52
1980 Jan-Jan	6,551	1,817	530	1,873	356	483	170	904	417	47
1979 Jan	4,300	934	420	917	142	429	132	1,082	243	52
Feb	2,980	399	142	1,086	266	558	236	62	230	10
Mar	4,758	763	101	1,284	312	582	59	1,304	354	21
Apr r	4,792	822	171	1,130	331	261	277	1,631	172	34
May r	4,298	1,285	182	865	226	109	352	990	289	1
Jun r	6,014	1,193	363	1,351	338	409	188	1,689	484	8
Jly r	4,139	1,007	102	879	370	95	379	995	312	227
Aug r	4,491	1,163	156	731	312	337	282	982	529	110
Sep r	5,032	1,489	534	1,447	217	671	127	367	181	426
Oct	4,672	1,488	409	1,536	295	312	63	263	306	10
Nov	3,947	477	226	1,433	291	856	143	306	218	21
Dec	3,592	532	386	1,028	194	75	346	613	418	88
1980 Jan	6,551	1,817	530	1,873	356	483	170	904	417	47
				Number of issues						
1975	2,032	597	124	497	124	111	48	268	263	57
1976	2,128	617	120	410	155	78	74	316	358	53
1977	2,143	619	136	317	144	81	78	310	458	27
1978	2,149	594	167	305	129	78	81	336	459	28
1979r	2,233	530	208	310	197	89	66	360	473	34
Year to date										
1979 Jan-Jan	184	52	17	29	8	8	7	24	39	3
1980 Jan-Jan	240	74	18	40	18	3	9	35	43	5
1979 Jan	184	52	17	29	8	8	7	24	39	3
Feb	155	44	7	28	12	3	6	15	40	2
Mar	184	48	13	23	17	8	7	33	35	2
Apr r	159	24	21	19	17	4	5	34	35	3
May r	183	36	19	20	17	8	4	38	41	1
Jun r	203	49	27	27	18	6	2	30	44	2
Jly r	180	30	14	22	19	8	8	33	46	4
Aug r	203	58	18	22	19	7	4	38	37	3
Sep	197	54	22	27	21	10	7	23	33	7
Oct	201	54	15	31	14	10	6	23	48	1
Nov	172	32	13	37	17	10	2	30	31	3
Dec	212	49	22	25	18	7	8	39	44	3
1980 Jan	240	74	18	40	18	3	9	35	43	5

Figures may not add due to rounding

(See Explanatory Notes)

r - Revisions

M-130: GROSS PROCEEDS FROM PRIMARY SECURITIES OFFERINGS, BY TYPE OF OFFERING: 1974-1979

	Foreign Government	Total Corporate	1933 Act Registration	Regulation A	Private Placements	Public Exempt Offerings
			Amounts in $ millions			
1974	2,360	37,729	30,950	78	6,701	N.A.
1975	3,592	53,632	41,711	49	10,780	1,092
1976	5,511	53,313	35,861	45	16,579	828
1977	4,602	54,231	32,165	47	19,742	2,277
1978	4,329	48,213	28,337	61	18,231	1,585
Year to date						
1978 Jan-Jan	165	3,487	1,787	5	1,467	228
1979 Jan-Jan	637	4,299	2,419	10	1,848	22
1978 Jan	165	3,487	1,787	5	1,467	228
Feb	4	2,520	1,652	3	805	60
Mar	1,016	5,009	2,926	4	1,896	183
Apr	552	3,456	1,998	7	1,014	437
May	693	4,595	2,736	4	1,632	223
Jun	282	5,543	2,835	5	2,469	234
Jly	103	4,122	2,320	2	1,786	14
Aug	125	3,270	2,012	5	1,185	68
Sep	338	4,179	2,480	4	1,664	31
Oct	783	4,151	3,103	7	1,041	0
Nov	251	3,254	2,233	10	919	92
Dec	17	4,627	2,255	5	2,353	15
1979 Jan	637	4,299	2,419	10	1,848	22
			Number of issues			
1974	21	1,922	724	223	975	N.A.
1975	41	2,032	881	130	970	51
1976	74	2,128	809	123	1,148	48
1977	66	2,143	793	125	1,169	56
1978	51	2,149	849	159	1,096	45
Year to date						
1978 Jan-Jan	7	132	43	14	71	4
1979 Jan-Jan	8	184	49	17	117	1
1978 Jan	7	132	43	14	71	4
Feb	2	108	33	9	62	4
Mar	8	191	69	13	101	8
Apr	6	144	54	18	64	8
May	8	164	83	14	61	6
Jun	4	240	93	16	124	7
Jly	3	175	68	7	99	1
Aug	1	170	78	14	76	2
Sep	6	216	92	12	111	1
Oct	3	171	85	13	73	0
Nov	2	165	67	18	77	3
Dec	1	273	84	11	177	1
1979 Jan	8	184	49	17	117	1

Figures may not add due to rounding

(See Explanatory Notes)

M-130: GROSS PROCEEDS FROM PRIMARY SECURITIES OFFERINGS, BY TYPE OF OFFERING: 1975-1980

	Foreign Government	Total Corporate	1933 Act Registration	Regulation A	Private Placements	Public Exempt Offerings
			Amounts in $ millions			
1975	3,592	53,632	41,711	49	10,780	1,092
1976	5,511	53,313	35,861	45	16,579	828
1977	4,602	54,231	32,165	47	19,742	2,277
1978	4,329	48,213	28,337	61	18,231	1,585
1979	4,610	53,016	35,224	182	16,002	1,608
Year to date						
1979 Jan-Jan	637	4;299	2,419	10	1,848	22
1980 Jan-Jan	499	6,550	4,058	13	2,479	N.A.
1979 Jan	637	4,299	2,419	10	1,848	22
Feb	615	2,980	1,998	13	925	44
Mar	50	4,758	2,669	14	1,989	86
Apr	199	4,793	3,576	8	1,180	29
May	1,298	4,299	2,581	24	1,670	24
Jun	344	6,015	4,191	12	1,341	471
Jly	124	4,137	2,859	15	993	270
Aug	100	4,491	2,759	17	1,186	529
Sep	515	5,033	3,378	19	1,547	89
Oct	198	4,671	3,646	20	1,005	0
Nov	521	3,947	2,739	11	1,197	0
Dec	9	3,593	2,409	19	1,121	44
1980 Jan	499	6,550	4,058	13	2,479	N.A.
			Number of issues			
1975	41	2,032	881	130	970	51
1976	74	2,128	809	123	1,148	48
1977	66	2,143	793	125	1,169	56
1978	51	2,149	849	159	1,096	45
1979	42	2,233	876	235	1,088	34
Year to date						
1979 Jan-Jan	8	184	49	17	117	1
1980 Jan-Jan	7	240	86	19	135	N.A.
1979 Jan	8	184	49	17	117	1
Feb	6	155	48	17	88	2
Mar	1	184	65	16	99	4
Apr	1	159	81	12	64	2
May	3	183	68	27	87	1
Jun	4	203	85	15	98	5
Jly	1	180	71	26	78	5
Aug	1	203	81	21	91	10
Sep	5	197	84	20	91	2
Oct	1	201	92	21	88	0
Nov	9	172	73	17	82	0
Dec	2	212	79	26	105	2
1980 Jan	7	240	86	19	135	N.A.

Figures may not add due to rounding

(See Explanatory Notes)

A-140: GROSS PROCEEDS FROM PRIMARY CORPORATE NON-CONVERTIBLE BOND OFFERINGS, BY INDUSTRY: 1974-1979

	Total	Publicly Offered	Privately Placed	Manufacturing	Extractive	Electric Gas And Water	Transportation	Communication	Sales- & Consumer Finance	Financial And Real Estate	Commercial & Other
				Amounts in $ millions							
1974	31,012	24,884	6,129	9,607	255	8,872	983	3,705	1,376	4,834	1,380
1975	41,456	31,290	10,166	16,244	647	9,654	3,612	3,462	1,010	5,008	1,818
1976	41,210	25,220	15,990	12,496	978	8,290	4,547	2,786	2,171	7,278	2,668
1977	41,403	23,717	17,686	11,877	1,313	7,601	2,711	3,062	2,043	9,550	3,251
1978	37,025	20,065	16,960	9,541	1,432	6,983	2,432	3,363	2,463	7,371	3,441
Year to date											
1978 Jan-Jan	2,856	1,543	1,313	460	199	284	312	529	100	842	131
1979 Jan-Jan	3,363	1,539	1,824	893	274	560	142	259	132	886	217
1978 Jan	2,856	1,543	1,313	460	199	284	312	529	100	842	131
Feb	1,944	1,214	730	755	11	221	95	9	384	381	88
Mar	4,184	2,333	1,851	1,267	114	631	246	291	506	833	296
Apr	2,929	1,998	931	564	50	380	371	57	95	1,126	286
May	3,565	2,101	1,464	915	17	1,113	253	0	431	470	367
Jun	4,001	1,629	2,372	1,107	118	843	365	344	334	479	412
Jly	3,478	1,779	1,699	660	352	64.	235	349	136	901	201
Aug	2,483	1,388	1,095	504	178	520	113	546	34	325	262
Sep	3,139	1,515	1,624	891	112	908	156	205	96	415	356
Oct	2,568	1,584	984	323	93	826	67	302	150	362	444
Nov	2,507	1,633	874	814	5	369	96	446	79	603	95
Dec	3,371	1,348	2,023	1,281	183	244	123	285	118	634	503
1979 Jan	3,363	1,539	1,824	893	274	560	142	259	132	886	217
				Number of issues							
1974	1,272	378	893	435	21	214	85	84	68	225	181
1975	1,435	525	910	498	32	283	122	93	46	198	163
1976	1,512	418	1,094	492	48	226	149	67	72	238	220
1977	1,526	420	1,106	496	46	174	129	62	75	216	328
1978	1,414	373	1,041	443	53	166	113	64	79	202	294
Year to date											
1978 Jan-Jan	92	23	69	29	6	6	9	7	5	12	18
1979 Jan-Jan	123	13	110	39	6	17	8	6	7	13	27
1978 Jan	92	23	69	29	6	6	9	7	5	12	18
Feb	76	16	60	24	2	11	7	2	7	13	10
Mar	138	40	98	45	3	16	16	4	11	18	25
Apr	94	35	59	21	2	11	13	4	4	17	22
May	99	42	57	33	2	16	9	0	9	12	18
Jun	163	44	119	60	7	13	14	7	8	22	32
Jly	122	28	94	38	8	12	7	7	4	19	27
Aug	97	26	71	27	8	14	7	6	1	14	20
Sep	140	32	108	48	3	18	7	4	13	19	28
Oct	97	28	69	22	2	16	3	6	2	16	30
Nov	99	27	72	31	3	13	7	7	6	18	14
Dec	197	32	165	65	7	20	14	10	9	22	50
1979 Jan	123	13	110	39	6	17	8	6	7	13	27

Figures may not add due to rounding

(See Explanatory Notes)

M-140: GROSS PROCEEDS FROM PRIMARY CORPORATE NON-CONVERTIBLE BOND OFFERINGS, BY INDUSTRY: 1975-1980

	Total	Publicly Offered	Privately Placed	Manufacturing	Extractive	Electric Gas And Water	Transportation	Communication	Sales- & Consumer Finance	Financial And Real Estate	Commercial & Other
					Amounts in $ millions						
1975	41,456	31,290	10,166	16,244	647	9,654	3,612	3,462	1,010	5,008	1,818
1976	41,210	25,220	15,990	12,496	978	8,290	4,547	2,786	2,171	7,278	2,668
1977	41,403	23,717	17,686	11,877	1,313	7,601	2,711	3,062	2,043	9,550	3,251
1978	37,025	20,065	16,960	9,541	1,432	6,983	2,432	3,363	2,463	7,371	3,441
1979 r	39,918	25,605	14,314	9,600	1,104	8,263	2,843	4,219	2,480	8,673	2,741
Year to date											
1979 Jan-Jan	3,363	1,539	1,824	893	274	560	142	259	132	886	217
1980 Jan-Jan	5,133	2,748	2,384	1,476	240	1,328	297	483	170	754	385
1979 Jan	3,363	1,539	1,824	893	274	560	142	259	132	886	217
Feb	2,068	1,147	921	278	101	417	266	558	236	39	173
Mar	4,133	2,317	1,816	739	5	996	257	517	59	1,225	336
Apr r	4,204	3,077	1,127	783	28	873	331	261	277	1,548	103
May r	3,710	2,142	1,569	1,200	86	638	181	102	352	969	182
Jun r	4,938	3,762	1,176	1,146	57	1,103	185	379	188	1,454	427
Jly r	3,004	2,129	875	969	32	264	340	26	379	785	209
Aug r	2,926	1,960	966	723	25	137	170	336	282	874	379
Sep r	3,570	2,437	1,133	984	355	920	213	588	127	251	132
Oct	3,153	2,250	903	1,312	33	874	295	265	62	94	219
Nov	2,696	1,667	1,029	315	47	818	289	854	40	244	91
Dec	2,153	1,178	975	258	61	663	174	74	346	304	273
1980 Jan	5,133	2,748	2,384	1,476	240	1,328	297	483	170	754	385
					Number of issues						
1975	1,435	525	910	498	32	283	122	93	46	198	163
1976	1,512	418	1,094	492	48	226	149	67	72	238	220
1977	1,526	420	1,106	496	46	174	129	62	75	216	328
1978	1,414	373	1,041	443	53	166	113	64	79	202	294
1979 r	1,364	333	1,031	339	45	179	180	67	64	225	265
Year to date											
1979 Jan-Jan	123	13	110	39	6	17	8	6	7	13	27
1980 Jan-Jan	160	29	131	57	4	28	17	3	9	21	21
1979 Jan	123	13	110	39	6	17	8	6	7	13	27
Feb	101	14	87	29	2	15	12	3	6	9	25
Mar	127	31	96	36	1	16	14	7	7	23	23
Apr r	99	36	63	15	5	12	17	4	5	23	18
May r	112	32	80	24	4	13	13	6	4	27	21
Jun r	136	41	95	36	10	20	16	5	2	21	26
Jly r	106	33	73	23	2	6	18	5	8	22	22
Aug r	116	33	83	33	1	10	17	6	4	22	23
Sep	116	30	86	32	4	18	19	6	7	13	17
Oct	111	31	80	29	3	15	13	6	5	14	26
Nov	100	21	79	15	4	21	16	8	1	19	16
Dec	117	18	99	28	3	16	17	5	8	19	21
1980 Jan	160	29	131	57	4	28	17	3	9	21	21

Figures may not add due to rounding

r - Revisions

(See Explanatory Notes)

M-150: GROSS PROCEEDS FROM PRIMARY CORPORATE CONVERTIBLE BOND OFFERINGS,1/ BY INDUSTRY: 1974-1979

	Total	Publicly Offered	Privately Placed	Manufac-turing	Extrac-tive	Electric Gas And Water	Trans-portation	Commu-nication	Sales- & Consumer Finance	Financial And Real Estate	Commer-cial & Other
				Amounts in $ millions							
1974	482	451	31	257	3	0	0	3	0	13	207
1975	1,302	1,296	6	735	30	4	25	0	+	423	85
1976	996	869	129	769	38	7	78	1	15	36	56
1977	863	489	372	689	36	50	50	1	0	21	14
1978	418	401	17	283	17	0	0	10	0	64	45
Year to date											
1978 Jan-Jan	0	0	0	0	0	0	0	0	0	0	0
1979 Jan-Jan	3	3	0	0	0	0	0	0	0	0	3
1978 Jan	0	0	0	0	0	0	0	0	0	0	0
Feb	0	0	0	0	0	0	0	0	0	0	0
Mar	4	4	0	0	0	0	0	0	0	4	0
Apr	20	20	0	12	0	0	0	0	0	0	8
May	2	2	0	2	0	0	0	0	0	0	0
Jun	136	136	0	86	0	0	0	0	0	50	0
Jly	16	16	0	16	0	0	0	0	0	0	0
Aug	6	1	5	0	5	0	0	0	0	1	0
Sep	105	93	12	62	0	0	0	0	0	8	35
Oct	101	101	0	100	0	0	0	0	0	1	0
Nov	22	22	0	0	12	0	0	10	0	0	0
Dec	6	6	0	5	0	0	0	0	0	0	2
1979 Jan	3	3	0	0	0	0	0	0	0	0	3
				Number of issues							
1974	32	13	19	16	1	0	0	1	0	4	10
1975	31	25	6	14	1	1	1	0	1	5	8
1976	38	28	10	10	2	2	2	1	1	7	13
1977	30	20	10	14	6	1	1	1	0	3	4
1978	22	20	2	10	2	0	0	1	0	5	4
Year to date											
1978 Jan-Jan	0	0	0	0	0	0	0	0	0	0	0
1979 Jan-Jan	2	2	0	0	0	0	0	0	0	0	2
1978 Jan	0	0	0	0	0	0	0	0	0	0	0
Feb	0	0	0	0	0	0	0	0	0	0	0
Mar	1	1	0	0	0	0	0	0	0	1	0
Apr	2	2	0	1	0	0	0	0	0	0	1
May	1	1	0	1	0	0	0	0	0	0	0
Jun	4	4	0	3	0	0	0	0	0	1	0
Jly	1	1	0	1	0	0	0	0	0	0	0
Aug	2	1	1	0	1	0	0	0	0	1	0
Sep	5	4	1	2	0	0	0	0	0	1	2
Oct	2	2	0	1	0	0	0	0	0	1	0
Nov	2	2	0	0	1	0	0	1	0	0	0
Dec	2	2	0	1	0	0	0	0	0	0	1
1979 Jan	2	2	0	0	0	0	0	0	0	0	2

+ = Less than $500,000
Figures may not add due to rounding

(See Explanatory Notes)

M-150: GROSS PROCEEDS FROM PRIMARY CORPORATE CONVERTIBLE BOND OFFERINGS,1/ BY INDUSTRY: 1975-1980

	Total	Publicly Offered	Privately Placed	Manufacturing	Extractive	Electric Gas And Water	Transportation	Communication	Sales- & Consumer Finance	Financial And Real Estate	Commercial & Other
				Amounts in $ millions							
1975	1,302	1,296	6	735	30	4	25	0	+	423	85
1976	996	869	129	769	38	7	78	1	15	36	56
1977	863	489	372	689	36	50	50	1	0	21	14
1978	418	401	17	283	17	0	0	10	0	64	45
1979 r	863	863	0	326	85	0	200	0	0	219	35
Year to date											
1979 Jan-Jan	3	3	0	0	0	0	0	0	0	0	3
1980 Jan-Jan	32	32	0	8	0	0	0	0	0	15	9
1979 Jan	3	3	0	0	0	0	0	0	0	0	3
Feb	5	5	0	0	0	0	0	0	0	0	5
Mar	0	0	0	0	0	0	0	0	0	0	0
Apr r	9	9	0	3	0	0	0	0	0	5	2
May r	0	0	0	0	0	0	0	0	0	0	0
Jun r	185	185	0	0	0	0	150	0	0	35	0
Jly r	137	137	0	0	0	0	30	0	0	107	0
Aug r	109	109	0	80	15	0	0	0	0	14	0
Sep	228	228	0	164	10	0	0	0	0	55	0
Oct	50	50	0	25	5	0	0	0	0	0	20
Nov	24	24	0	4	20	0	0	0	0	0	0
Dec	113	113	0	50	35	0	20	0	0	3	5
1980 Jan	32	32	0	8	0	0	0	0	0	15	9
				Number of issues							
1975	31	25	6	14	1	1	1	0	1	5	8
1976	38	28	10	10	2	2	2	1	1	7	13
1977	30	20	10	14	6	1	1	1	0	3	4
1978	22	20	2	10	2	0	0	1	0	5	4
1979 r	37	36	0	11	7	0	3	0	0	9	7
Year to date											
1979 Jan-Jan	2	2	0	0	0	0	0	0	0	0	2
1980 Jan-Jan	4	4	0	1	0	0	0	0	0	1	2
1979 Jan	2	2	0	0	0	0	0	0	0	0	2
Feb	1	0	0	0	0	0	0	0	0	0	1
Mar	0	0	0	0	0	0	0	0	0	0	0
Apr r	3	3	0	1	0	0	0	0	0	1	1
May r	0	0	0	0	0	0	0	0	0	0	0
Jun r	2	2	0	0	0	0	1	0	0	1	0
Jly r	3	3	0	0	0	0	1	0	0	2	0
Aug r	4	4	0	1	1	0	0	0	0	2	0
Sep	6	6	0	3	1	0	0	0	0	2	0
Oct	6	6	0	4	1	0	0	0	0	0	1
Nov	3	3	0	1	2	0	0	0	0	0	0
Dec	7	7	0	1	2	0	1	0	0	1	2
1980 Jan	4	4	0	1	0	0	0	0	0	1	2

+ = Less than $500,000
Figures may not add due to rounding

r - Revisions

(See Explanatory Notes.)

M-160: GROSS PROCEEDS FROM PRIMARY CORPORATE PREFERRED STOCK OFFERINGS, BY INDUSTRY: 1974-1979

	Total	Publicly Offered	Privately Placed	Manufac-turing	Extrac-tive	Electric Gas And Water	Trans-portation	Commu-nication	Sales- & Consumer Finance	Financial And Real Estate	Commer-cial & Other
				Amounts in $ millions							
1974	2,254	1,744	510	115	0	2,004	0	112	+	+	23
1975	3,458	3,088	370	537	75	2,521	0	112	0	126	87
1976	2,803	2,353	451	338	140	2,061	13	109	+	134	8
1977	3,916	2,421	1,495	541	25	1,989	443	405	+	298	216
1978	2,832	1,759	1,077	396	95	1,729	147	220	2	149	97
Year to date											
1978 Jan-Jan	171	131	40	+	0	171	0	0	0	0	0
1979 Jan-Jan	171	151	20	19	0	152	0	0	0	0	+
1978 Jan	171	131	40	+	0	171	0	0	0	0	0
Feb	188	113	75	0	0	163	0	25	0	0	+
Mar	148	113	34	30	0	106	0	0	2	0	10
Apr	256	185	71	3	0	160	0	18	0	0	75
May	390	223	168	+	0	380	0	0	0	10	0
Jun	588	496	92	252	75	195	0	0	0	65	+
Jly	172	93	79	0	0	119	40	1	0	13	0
Aug	157	71	87	0	0	137	0	0	0	20	+
Sep	127	107	20	53	20	45	0	0	0	2	7
Oct	62	6	57	33	0	16	0	0	0	14	0
Nov	149	105	45	24	0	100	7	1	0	17	0
Dec	424	116	309	0	0	137	100	175	0	8	4
1979 Jan	171	151	20	19	0	152	0	0	0	0	+
				Number of issues							
1974	110	64	46	13	0	76	0	9	1	2	9
1975	134	89	45	17	1	97	0	11	0	4	4
1976	113	72	41	13	2	81	1	7	1	5	3
1977	110	66	44	21	1	58	7	6	1	13	3
1978	100	58	42	10	2	53	4	7	1	15	8
Year to date											
1978 Jan-Jan	6	5	1	1	0	5	0	0	0	0	0
1979 Jan-Jan	10	6	4	3	0	6	0	0	0	0	1
1978 Jan	6	5	1	1	0	5	0	0	0	0	0
Feb	6	4	2	0	0	4	0	1	0	0	1
Mar	8	6	2	1	0	5	0	0	1	0	1
Apr	7	3	4	1	0	4	0	1	0	0	1
May	9	5	4	1	0	7	0	0	0	1	0
Jun	14	10	4	2	1	6	0	0	0	4	1
Jly	6	3	3	0	0	3	1	1	0	1	0
Aug	8	4	4	0	0	5	0	0	0	2	1
Sep	6	5	1	1	1	1	0	0	0	1	2
Oct	6	2	4	2	0	2	0	0	0	2	0
Nov	10	6	4	1	0	4	1	1	0	3	0
Dec	14	5	9	0	0	7	2	3	0	1	1
1979 Jan	10	6	4	3	0	6	0	0	0	0	1

Figures may not add due to rounding

(See Explanatory Notes)

M-160: GROSS PROCEEDS FROM PRIMARY CORPORATE PREFERRED STOCK OFFERINGS, BY INDUSTRY: 1975-1980

	Total	Publicly Offered	Privately Placed	Manufac-turing	Extrac-tive	Electric Gas And Water	Trans-portation	Commu-nication	Sales- & Consumer Finance	Financial And Real Estate	Commer-cial & Other
				Amounts in $ millions							
1975	3,458	3,088	370	537	75	2,521	0	112	0	126	87
1976	2,803	2,353	45[illegible]	338	140	2,061	13	109	+	134	8
1977	3,916	2,421	1,495	541	25	1,989	443	405	+	298	216
1978	2,832	1,759	1,077	396	95	1,729	147	220	2	149	97
1979	3,525	1,965	1,562	648	194	2,029	8	205	103	186	157
Year to date											
1979 Jan-Jan	171	151	20	19	0	152	0	0	0	0	+
1980 Jan-Jan	297	244	53	53	0	243	0	0	0	0	+
1979 Jan	171	151	20	19	0	152	0	0	0	0	+
Feb	201	196	5	0	0	201	0	0	0	0	0
Mar	184	11	173	6	0	103	0	65	0	11	0
Apr	155	103	53	0	65	53	0	0	0	0	38
May	178	80	98	64	0	104	4	5	0	0	1
Jun	278	116	162	0	91	72	0	0	0	115	0
Jly	392	289	103	27	0	273	0	35	0	50	7
Aug	401	262	140	181	0	212	0	0	0	9	0
Sep	645	231	414	217	0	340	4	75	0	0	10
Oct	195	98	97	18	0	152	0	25	0	0	0
Nov	443	275	168	12	38	190	0	0	103	0	100
Dec	282	153	129	104	0	177	0	0	0	1	+
1980 Jan	297	244	53	53	0	243	0	0	0	0	+
				Number of issues							
1975	134	89	45	17	1	97	0	11	0	4	4
1976	113	72	41	13	2	81	1	7	1	5	3
1977	110	66	44	21	1	58	7	6	1	13	3
1978	100	58	42	10	2	53	4	7	1	15	8
1979	105	59	46	20	7	52	2	7	1	9	7
Year to date											
1979 Jan-Jan	10	6	4	3	0	6	0	0	0	0	1
1980 Jan-Jan	8	7	1	1	0	6	0	0	0	0	1
1979 Jan	10	6	4	3	0	6	0	0	0	0	1
Feb	5	4	1	0	0	5	0	0	0	0	0
Mar	5	2	3	1	0	1	0	1	0	2	0
Apr	5	4	1	0	3	1	0	0	0	0	1
May	8	4	4	2	0	3	1	1	0	0	1
Jun	5	3	2	0	2	1	0	0	0	2	0
Jly	12	8	4	1	0	7	0	2	0	1	1
Aug	13	6	7	5	0	6	0	0	0	2	0
Sep	12	7	5	3	0	5	1	2	0	0	1
Oct	11	4	7	2	0	8	0	1	0	0	0
Nov	9	6	3	1	2	4	0	0	1	0	1
Dec	10	5	5	2	0	5	0	0	0	2	1
1980 Jan	8	7	1	1	0	6	0	0	0	0	1

Figures may not add due to rounding

(See Explanatory Notes)

M-170: GROSS PROCEEDS FROM PRIMARY CORPORATE COMMON STOCK OFFERINGS, BY INDUSTRY: 1974-1979

	Total	Publicly Offered	Privately Placed	Manufac-turing	Extrac-tive	Electric Gas And Water	Trans-portation	Commu-nication	Sales- & Consumer Finance	Financial And Real Estate	Commer-cial & Other
				Amounts in $ millions							
1974	3,976	3,946	30	427	714	1,959	21	108	0	554	192
1975	7,413	7,178	236	1,134	875	3,714	1	889	1	361	440
1976	8,305	8,296	9	1,893	606	4,060	11	666	0	637	428
1977	8,047	7,557	188	650	1,310	4,064	14	975	3	748	284
1978	7,937	7,760	178	842	1,556	3,541	117	47	+	1,477	356
Year to date											
1978 Jan-Jan	459	345	114	5	130	189	+	0	0	130	5
1979 Jan-Jan	763	758	4	22	146	205	0	170	0	196	23
1978 Jan	459	345	114	5	130	189	+	0	0	130	5
Feb	388	388	0	+	88	147	0	0	0	150	3
Mar	674	664	10	44	73	521	0	0	+	25	11
Apr	251	239	12	27	92	78	28	0	0	10	16
May	637	637	0	41	73	420	20	0	0	75	7
Jun	819	814	5	116	150	234	38	5	0	255	20
Jly	456	448	8	167	148	28	0	26	0	63	24
Aug	625	625	0	236	95	218	0	6	0	55	15
Sep	807	800	7	95	52	381	12	10	0	169	89
Oct	1,420	1,420	0	60	337	784	+	0	0	164	74
Nov	575	575	1	9	36	277	5	+	0	173	75
Dec	826	805	21	42	282	264	13	0	0	208	17
1979 Jan	763	758	4	22	146	205	0	170	0	196	23
				Number of issues							
1974	508	491	17	75	119	65	5	11	0	111	122
1975	432	423	9	68	90	116	1	7	1	61	88
1976	465	462	3	102	68	101	3	3	0	66	122
1977	477	468	9	88	83	84	7	12	2	78	123
1978	613	602	11	131	110	86	12	6	1	114	153
Year to date											
1978 Jan-Jan	34	33	1	8	10	3	1	0	0	7	5
1979 Jan-Jan	49	46	3	10	11	6	0	2	0	11	9
1978 Jan	34	33	1	8	10	3	1	0	0	7	5
Feb	26	26	0	1	9	4	0	0	0	6	6
Mar	44	43	1	8	7	11	0	0	1	3	14
Apr	41	40	1	6	9	4	2	0	0	6	14
May	55	55	0	10	7	11	1	0	0	14	12
Jun	59	58	1	13	8	8	1	1	0	14	14
Jly	46	44	2	10	13	2	0	2	0	7	12
Aug	63	63	0	20	12	8	0	1	0	12	10
Sep	65	64	1	19	8	10	1	1	0	12	14
Oct	66	66	0	11	7	12	1	0	0	10	25
Nov	54	53	1	10	2	8	2	1	0	11	20
Dec	60	57	3	15	18	5	3	0	0	12	7
1979 Jan	49	46	3	10	11	6	0	2	0	11	9

+ = Less than $500,000
Figures may not add due to rounding

(See Explanatory Notes)

M-170: GROSS PROCEEDS FROM PRIMARY CORPORATE COMMON STOCK OFFERINGS, BY INDUSTRY: 1975-1980

	Total	Publicly Offered	Privately Placed	Manufacturing	Extractive	Electric Gas And Water	Transportation	Communication	Sales- & Consumer Finance	Financial And Real Estate	Commercial & Other
				Amounts in $ millions							
1975	7,413	7,178	236	1,134	875	3,714	1	889	1	361	440
1976	8,305	8,296	9	1,893	606	4,060	11	666	0	637	428
1977	8,047	7,557	188	650	1,310	4,064	14	975	3	748	284
1978	7,937	7,760	178	842	1,556	3,541	117	47	+	1,477	356
1979	8,709	8,581	127	978	1,809	3,395	243	270	1	1,206	804
Year to date											
1979 Jan-Jan	763	758	4	22	146	205	0	170	0	196	23
1980 Jan-Jan	1,089	1,047	42	280	290	302	59	0	0	135	23
1979 Jan	763	758	4	22	146	205	0	170	0	196	23
Feb	706	706	0	121	41	468	0	0	0	23	52
Mar	441	441	0	18	96	185	55	0	0	68	18
Apr	424	424	0	36	78	204	0	0	0	78	29
May	410	407	3	21	96	123	41	2	0	21	106
Jun	613	610	3	47	215	176	3	30	0	85	57
Jly	606	591	15	11	70	342	0	34	0	53	96
Aug	1,055	975	80	179	116	382	142	1	0	85	150
Sep	589	589	0	124	169	187	0	8	0	61	39
Oct	1,274	1,269	5	133	371	510	0	22	1	169	67
Nov	784	784	0	146	121	425	2	2	0	62	27
Dec	1,044	1,027	17	120	290	188	0	1	0	305	140
1980 Jan	1,089	1,047	42	280	290	302	59	0	0	135	23
				Number of issues							
1975	432	423	9	68	90	116	1	7	1	61	88
1976	465	462	3	102	68	101	3	3	0	66	122
1977	477	468	9	88	83	84	7	12	2	78	123
1978	613	602	11	131	110	86	12	6	1	114	153
1979	727	716	11	160	149	79	12	15	1	117	194
Year to date											
1979 Jan-Jan	49	46	3	10	11	6	0	2	0	11	9
1980 Jan-Jan	68	65	3	15	14	6	1	0	0	13	19
1979 Jan	49	46	3	10	11	6	0	2	0	11	9
Feb	48	48	0	15	5	8	0	0	0	6	14
Mar	52	52	0	11	12	6	3	0	0	8	12
Apr	52	52	0	8	13	6	0	0	0	10	15
May	63	60	3	10	15	4	3	1	0	11	19
Jun	60	59	1	13	15	6	1	1	0	6	18
Jly	59	58	1	6	12	9	0	1	0	8	23
Aug	70	69	1	19	16	6	2	1	0	12	14
Sep	63	63	0	16	17	4	1	2	0	8	15
Oct	73	72	1	19	11	8	1	3	1	9	21
Nov	60	60	0	15	5	12	1	2	0	11	14
Dec	78	77	1	18	17	4	0	2	0	17	20
1980 Jan	68	65	3	15	14	6	1	0	0	13	19

+ = Less than $500,000
Figures may not add due to rounding

(See Explanatory Notes)

M-180: SECURITIES REGISTRATIONS FOR CASH SALE: 1974-1979

(Under Securities Act Of 1933)

	Primary Corporate Offerings						Secondary Offerings	Foreign Governments
	Debt	Stock			Warrants And Other Equity Securities	Closed End Investment Companies		
		Preferred	Common					
			Unseasoned	Seasoned				
				Amounts in $ millions				
1974	25,937	1,877	82	2,709	1,235	92	152	2,171
1975	31,027	3,089	70	6,261	965	52	983	3,547
1976	25,367	2,359	185	7,221	897	44	774	3,827
1977	21,938	2,421	197	6,078	1,554	27	394	4,096
1978	18,878	1,758	296	4,969	2,459	15	558	4,100
Year to date								
1978 Jan-Jan	1,315	131	7	196	141	0	71	125
1979 Jan-Jan	1,518	151	27	404	319	10	19	574
1978 Jan	1,315	131	7	196	141	0	71	125
Feb	1,154	113	0	147	239	0	1	0
Mar	2,154	113	3	584	73	0	4	949
Apr	1,581	185	21	116	95	0	27	548
May	1,880	223	14	515	117	15	7	683
Jun	1,531	495	37	572	200	+	59	249
Jly	1,781	93	22	333	91	0	51	100
Aug	1,321	71	23	457	142	0	72	125
Sep	1,576	107	59	573	165	0	71	323
Oct	1,685	6	46	881	488	0	173	750
Nov	1,562	105	29	287	251	0	12	248
Dec	1,338	116	35	308	457	0	10	0
1979 Jan	1,518	151	27	404	319	10	19	574
				Number of issues				
1974	381	67	45	105	157	4	31	21
1975	485	87	24	187	105	3	65	41
1976	391	71	43	209	96	4	97	50
1977	374	61	58	177	130	2	61	40
1978	339	51	70	222	173	2	81	26
Year to date								
1978 Jan-Jan	19	4	3	6	12	0	1	1
1979 Jan-Jan	13	5	7	11	13	1	3	4
1978 Jan	19	4	3	6	12	0	1	1
Feb	12	3	0	4	14	0	2	0
Mar	32	6	3	20	8	0	3	5
Apr	28	3	3	8	12	0	6	4
May	37	4	8	22	14	1	4	6
Jun	38	8	3	27	17	1	10	2
Jly	28	3	5	22	10	0	10	1
Aug	25	2	6	28	17	0	14	1
Sep	34	5	9	28	16	0	12	3
Oct	30	2	11	27	17	0	10	2
Nov	25	6	11	12	14	0	2	1
Dec	31	5	8	18	22	0	7	0
1979 Jan	13	5	7	11	13	1	3	4

Figures may not add due to rounding

(See Explanatory Notes)

M-180: SECURITIES REGISTRATIONS FOR CASH SALE: 1975-1980

(Under Securities Act Of 1933)

	Primary Corporate Offerings						Secondary Offerings	Foreign Governments
	Debt	Stock			Warrants And Other Equity Securities	Closed End Investment Companies		
		Preferred	Common					
			Unseasoned	Seasoned				
	Amounts in $ millions							
1975	31,027	3,089	70	6,261	965	52	983	3,547
1976	25,367	2,359	185	7,221	897	44	774	3,827
1977	21,938	2,421	197	6,078	1,554	27	394	4,096
1978	18,878	1,758	296	4,969	2,459	15	558	4,100
1979	24,844	1,963	545	5,306	2,831	11	934	4,272
Year to date								
1979 Jan-Jan	1,518	151	27	404	319	10	19	574
1980 Jan-Jan	2,780	338	27	634	374	0	297	447
1979 Jan	1,518	151	27	404	319	10	19	574
Feb	1,108	196	21	573	105	0	10	606
Mar	2,231	10	18	249	164	0	61	0
Apr	3,054	103	16	267	137	0	19	199
May	2,117	79	61	209	114	0	33	1,298
Jun	3,476	116	54	530	251	0	30	300
Jly	1,994	289	141	362	74	0	23	124
Aug	1,539	262	33	609	317	1	45	100
Sep	2,575	231	30	402	155	0	21	498
Oct	2,298	98	30	650	570	0	641	198
Nov	1,691	275	24	564	189	0	11	375
Dec	1,243	153	90	487	436	0	21	0
1980 Jan	2,780	338	27	634	374	0	297	447
	Number of issues							
1975	485	87	24	187	105	3	65	41
1976	391	71	43	209	96	4	97	50
1977	374	61	58	177	130	2	61	40
1978	339	51	70	222	173	2	81	26
1979	320	55	110	203	191	2	72	23
Year to date								
1979 Jan-Jan	13	5	7	11	13	1	3	4
1980 Jan-Jan	33	7	7	17	23	0	7	3
1979 Jan	13	5	7	11	13	1	3	4
Feb	13	4	7	14	12	0	3	4
Mar	27	1	3	16	18	0	2	0
Apr	34	4	9	16	18	0	7	1
May	29	3	9	13	14	0	4	3
Jun	38	3	9	21	14	0	8	2
Jly	28	8	7	15	13	0	5	1
Aug	27	6	9	18	21	1	14	1
Sep	32	7	9	20	16	0	8	3
Oct	36	4	14	20	18	0	9	1
Nov	24	6	9	21	14	0	2	3
Dec	19	4	18	18	20	0	7	0
1980 Jan	33	7	7	17	23	0	7	3

Figures may not add due to rounding

(See Explanatory Notes)

M-183: SECURITIES REGISTRATIONS FOR EXTENDED CASH SALE: 1974-1979

(Under Securities Act Of 1933)

	Investment Company Issues				Offerings To Employees					
	Open-End	Unit Investment	Face Amount	Variable Annuities	Savings & Thrift Plans	Stock Options Plans	Stock Purchase Plans	Incentive Compens'n Plans	Dividend Reinvest-ment Plans	Dpstry Rec'pts
					Amounts in $ millions					
1974	7,619	2,146	175	529	2,174	2,845	804	353	379	N.A.
1975	13,623	2,966	250	834	3,984	3,267	1,383	387	1,705	N.A.
1976	18,138	3,899	407	443	2,159	3,052	2,586	620	856	14
1977	25,169	3,948	154	265	2,954	3,066	906	1,444	1,401	27
1978	N.A.	N.A.	N.A.	N.A.	4,740	3,079	927	670	2,142	18
Year to date										
1978 Jan-Jan	N.A.	N.A.	N.A.	N.A.	107	114	40	0	171	2
1979 Jan-Jan	N.A.	N.A.	N.A.	N.A.	96	94	27	33	72	1
1978 Jan	N.A.	N.A.	N.A.	N.A.	107	114	40	0	171	2
Feb	N.A.	N.A.	N.A.	N.A.	9	56	60	24	66	0
Mar	N.A.	N.A.	N.A.	N.A.	148	92	36	28	96	4
Apr	N.A.	N.A.	N.A.	N.A.	1,684	498	214	171	1,135	1
May	N.A.	N.A.	N.A.	N.A.	996	1,006	72	177	115	0
Jun	N.A.	N.A.	N.A.	N.A.	358	396	118	70	56	1
Jly	N.A.	N.A.	N.A.	N.A.	296	255	43	51	102	1
Aug	N.A.	N.A.	N.A.	N.A.	415	125	58	16	35	1
Sep	N.A.	N.A.	N.A.	N.A.	108	128	30	15	59	3
Oct	N.A.	N.A.	N.A.	N.A.	63	198	43	48	126	3
Nov	N.A.	N.A.	N.A.	N.A.	442	140	122	4	97	0
Dec	N.A.	N.A.	N.A.	N.A.	114	71	91	66	84	2
1979 Jan	N.A.	N.A.	N.A.	N.A.	96	94	27	33	72	1
					Number of issues					
1974	222	112	6	35	213	593	154	64	21	N.A.
1975	291	147	8	33	218	540	164	61	36	N.A.
1976	337	222	11	22	183	526	159	78	53	22
1977	397	263	10	21	207	473	148	88	57	37
1978	427	292	5	37	293	435	154	73	89	32
Year to date										
1978 Jan-Jan	37	21	0	1	6	28	14	0	3	5
1979 Jan-Jan	31	19	0	2	14	32	11	5	6	2
1978 Jan	37	21	0	1	6	28	14	0	3	5
Feb	29	21	0	2	5	20	15	4	4	0
Mar	43	21	0	1	10	13	7	4	6	7
Apr	63	19	3	9	35	42	20	9	18	1
May	37	28	0	13	63	55	15	14	13	0
Jun	33	28	0	2	46	69	20	14	7	1
Jly	30	30	1	0	28	45	9	6	7	1
Aug	31	19	1	0	32	41	13	7	5	1
Sep	19	29	0	1	15	31	5	4	7	6
Oct	35	28	0	0	14	29	10	5	6	7
Nov	36	25	0	7	22	33	12	4	9	0
Dec	34	23	0	1	17	29	14	2	4	3
1979 Jan	31	19	0	2	14	32	11	5	6	2

N.A. = Not available
+ = Less than $500,000
Figures may not add due to rounding

(See Explanatory Notes)

M-183: SECURITIES REGISTRATIONS FOR EXTENDED CASH SALE: 1975-1980

(Under Securities Act Of 1933)

	Investment Company Issues				Offerings To Employees					
	Open-End	Unit Investment	Face Amount	Variable Annuities	Savings & Thrift Plans	Stock Options Plans	Stock Purchase Plans	Incentive Compens'n Plans	Dividend Reinvest-ment Plans	Dpstry Rec'pts
					Amounts in $ millions					
1975	13,623	2,966	250	834	3,984	3,267	1,383	387	1,705	N.A.
1976	18,138	3,899	407	443	2,159	3,052	2,586	620	856	14
1977	25,169	3,948	154	265	2,954	3,066	906	1,444	1,401	27
1978	N.A.	N.A.	N.A.	N.A.	4,740	3,079	927	670	2,142	18
1979	N.A.	N.A.	N.A.	N.A.	4,895	4,544	1,146	793	3,567	36
Year to date										
1979 Jan-Jan	N.A.	N.A.	N.A.	N.A.	96	94	27	33	72	1
1980 Jan-Jan	N.A.	N.A.	N.A.	N.A.	122	364	70	61	161	1
1979 Jan	N.A.	N.A.	N.A.	N.A.	96	94	27	33	72	1
Feb	N.A.	N.A.	N.A.	N.A.	150	148	63	32	132	2
Mar	N.A.	N.A.	N.A.	N.A.	353	161	121	15	252	1
Apr	N.A.	N.A.	N.A.	N.A.	1,970	1,530	154	124	1,896	7
May	N.A.	N.A.	N.A.	N.A.	651	961	149	119	318	3
Jun	N.A.	N.A.	N.A.	N.A.	160	381	41	163	297	2
Jly	N.A.	N.A.	N.A.	N.A.	389	419	61	47	100	3
Aug 1/	N.A.	N.A.	N.A.	N.A.	216	193	19	64	65	2
Sep	N.A.	N.A.	N.A.	N.A.	51	125	24	113	56	4
Oct	N.A.	N.A.	N.A.	N.A.	365	241	338	27	40	2
Nov	N.A.	N.A.	N.A.	N.A.	214	145	66	4	112	4
Dec	N.A.	N.A.	N.A.	N.A.	280	146	83	52	227	5
1980 Jan	N.A.	N.A.	N.A.	N.A.	122	364	70	61	161	1
					Number of issues					
1975	291	147	8	33	218	540	164	61	36	N.A.
1976	337	222	11	22	183	526	159	78	53	22
1977	397	263	10	21	207	473	148	88	57	37
1978	427	292	5	37	293	435	154	73	89	32
1979	196	318	8	23	302	485	154	70	122	59
Year to date										
1979 Jan-Jan	31	19	0	2	14	32	11	5	6	2
1980 Jan-Jan	6	28	0	0	11	39	11	7	13	2
1979 Jan	31	19	0	2	14	32	11	5	6	2
Feb	17	21	0	2	8	20	7	5	5	5
Mar	36	36	1	3	17	25	11	4	9	2
Apr	55	18	3	3	60	67	17	16	29	3
May	15	27	1	9	50	74	25	6	18	5
Jun	14	36	3	3	25	53	16	7	13	3
Jly	8	27	0	0	29	61	12	6	7	5
Aug 1/	8	35	0	0	31	43	11	6	5	5
Sep	1	24	0	0	14	24	7	5	3	7
Oct	4	25	0	0	15	34	11	4	3	4
Nov	5	30	0	0	21	28	13	3	10	9
Dec	2	20	0	1	18	24	13	3	14	9
1980 Jan	6	28	0	0	11	39	11	7	13	2

N.A. = Not available
+ = Less than $500,000
Figures may not add due to rounding

(See Explanatory Notes)

1/ See note on table M-186

M-186: TOTAL REGISTRATIONS AND NON-CASH ISSUES: 1974-1979

(Under Securities Act Of 1933)

	Total Effective Registrations	Exchange Transactions	Reserve For Conversions	Warrants, Options And Others	Secondary Offerings	Withdrawals
			Amounts in $ millions			
1974	59,919	5,609	1,150	1,051	829	N.A.
1975	81,872	3,543	2,463	626	840	N.A.
1976	91,382	17,658	1,954	644	1,200	0
1977	88,104	8,373	2,420	599	705	37
1978	61,151	11,958	2,509	1,367	735	30
Year to date						
1978 Jan-Jan	2,813	258	70	38	26	3
1979 Jan-Jan	5,594	1,754	389	89	28	0
1978 Jan	2,813	258	70	38	26	3
Feb	2,842	772	155	34	14	0
Mar	4,956	620	16	30	9	0
Apr	7,446	935	41	85	110	0
May	6,680	388	44	286	157	13
Jun	6,637	1,375	807	242	72	0
Jly	3,945	523	102	81	21	0
Aug	5,087	1,725	274	110	120	3
Sep	4,539	972	229	51	70	0
Oct	5,578	538	308	188	36	9
Nov	4,509	881	141	121	68	2
Dec	6,119	2,971	322	101	32	0
1979 Jan	5,594	1,754	389	89	28	0
			Number of issues			
1974	N.A.	404	55	157	212	N.A.
1975	N.A.	286	81	122	183	N.A.
1976	3,230	300	77	141	307	0
1977	3,423	333	89	207	192	11
1978	3,700	333	100	285	186	7
Year to date						
1978 Jan-Jan	206	19	3	11	12	1
1979 Jan-Jan	258	37	8	23	12	0
1978 Jan	206	19	3	11	12	1
Feb	168	16	1	10	6	0
Mar	239	22	2	19	7	0
Apr	353	29	6	21	14	0
May	417	30	5	18	31	2
Jun	414	36	13	18	22	0
Jly	291	15	9	21	10	0
Aug	342	30	12	29	28	1
Sep	297	29	12	23	12	0
Oct	326	29	14	36	14	2
Nov	320	37	10	36	18	1
Dec	327	41	13	43	12	0
1979 Jan	258	37	8	23	12	0

Figures may not add due to rounding

(See Explanatory Notes)

M-186: TOTAL REGISTRATIONS AND NON-CASH ISSUES: 1975-1980

(Under Securities Act Of 1933)

	Total Effective Registrations	Exchange Transactions	Reserve For Conversions	Warrants, Options And Others	Secondary Offerings	Withdrawals
			Amounts in $ millions			
1975	81,872	3,543	2,463	626	840	N.A.
1976	91,382	17,658	1,954	644	1,200	0
1977	88,104	8,373	2,420	599	705	37
1978	61,151	11,958	2,509	1,367	735	30
1979	82,201	15,429	4,975	2,918	730	480
Year to date						
1979 Jan-Jan	5,594	1,754	389	89	28	0
1980 Jan-Jan	5,380	1,201	610	104	63	0
1979 Jan	5,594	1,754	389	89	28	0
Feb	4,147	906	24	25	44	0
Mar	6,569	25	123	288	23	0
Apr	11,474	827	880	245	49	0
May	8,329	1,235	783	129	69	0
Jun	7,371	930	410	145	84	0
Jly	5,732	1,412	195	26	74	0
Aug 1/	6,410	1,461	289	1,118	78	0
Sep	5,568	391	452	421	19	+
Oct	6,363	548	120	152	45	0
Nov	8,138	3,473	694	115	183	4
Dec	6,506	2,467	616	165	34	476
1980 Jan	5,380	1,201	610	104	63	0
			Number of issues			
1975	N.A.	286	81	122	183	N.A.
1976	3,230	300	77	141	307	0
1977	3,423	333	89	207	192	11
1978	3,700	333	100	285	186	7
1979	3,881	375	131	465	199	3
Year to date						
1979 Jan-Jan	258	37	8	23	12	0
1980 Jan-Jan	207	46	14	42	13	0
1979 Jan	258	37	8	23	12	0
Feb	214	30	4	22	11	0
Mar	275	27	1	26	10	0
Apr	439	23	11	31	14	0
May	410	35	10	33	27	0
Jun	366	32	12	35	19	0
Jly	323	31	12	25	23	0
Aug 1/	330	21	16	37	16	0
Sep	290	24	15	59	12	1
Oct	297	19	8	49	19	0
Nov	331	38	16	54	25	1
Dec	348	58	18	71	11	1
1980 Jan	207	46	14	42	13	0

Figures may not add due to rounding

(See Explanatory Notes)

1/ Included in total registrations for August 1979 but not shown in the details of Extended Cash Sales in table M-183 is a $1,000,000,000 registration of notes by Citicorp which may be offered from time to time.

M-190: CORPORATE SECURITIES ISSUES BY METHOD OF DISTRIBUTION AND BY TYPE OF SECURITY: 1974-1979

(Primary issues registered under The Securities Act of 1933)

	Underwritten				Agency Best Efforts				Direct By Issuer			
	Total	Debt	Preferred	Common	Total	Debt	Preferred	Common	Total	Debt	Preferred	Common
					Amounts in $ millions							
1974	29,602	25,304	1,868	2,362	984	290	0	694	1,347	343	9	970
1975	38,771	29,907	3,073	5,763	1,200	345	0	856	1,491	776	15	677
1976	33,412	23,755	2,342	7,311	1,085	417	5	664	1,554	1,196	7	304
1977	27,603	19,270	2,361	5,975	2,403	1,261	0	1,142	2,189	1,447	22	719
1978	24,194	17,372	1,734	5,090	2,939	693	0	2,246	1,226	816	25	387
Year to date												
1978 Jan-Jan	1,448	1,123	131	194	116	0	0	116	227	192	0	35
1979 Jan-Jan	1,900	1,492	151	257	332	26	0	306	187	0	0	187
1978 Jan	1,448	1,123	131	194	116	0	0	116	227	192	0	35
Feb	1,409	1,150	113	147	235	4	0	231	7	0	0	7
Mar	2,352	1,681	104	567	122	52	0	70	453	421	10	22
Apr	1,856	1,535	185	136	95	6	0	89	47	40	0	7
May	2,465	1,744	223	498	166	100	0	66	118	36	0	82
Jun	2,537	1,471	495	571	263	57	0	206	36	4	0	32
Jly	2,215	1,770	93	352	72	7	0	65	34	5	0	29
Aug	1,793	1,258	71	465	121	1	0	119	98	62	0	36
Sep	2,277	1,551	98	628	152	12	0	140	51	13	9	29
Oct	2,506	1,607	0	899	547	64	0	484	52	14	6	33
Nov	1,910	1,509	105	296	306	39	0	267	18	14	0	4
Dec	1,426	973	116	337	744	351	0	393	85	15	0	71
1979 Jan	1,900	1,492	151	257	332	26	0	306	187	0	0	187
					Number of issues							
1974	486	333	63	89	90	12	0	78	184	36	4	141
1975	687	428	85	172	62	8	0	54	146	50	2	93
1976	613	327	68	216	90	19	1	70	109	46	2	61
1977	532	296	55	181	128	35	0	93	140	44	5	91
1978	530	257	45	228	188	37	0	151	137	45	6	86
Year to date												
1978 Jan-Jan	20	11	4	5	11	0	0	11	13	8	0	5
1979 Jan-Jan	30	11	5	14	17	2	0	15	2	0	0	2
1978 Jan	20	11	4	5	11	0	0	11	13	8	0	5
Feb	18	11	3	4	12	1	0	11	3	0	0	3
Mar	43	23	5	15	11	2	0	9	15	7	1	7
Apr	38	25	3	10	11	1	0	10	5	2	0	3
May	55	31	4	20	11	1	0	10	19	5	0	14
Jun	65	31	8	26	20	6	0	14	8	1	0	7
Jly	45	21	3	21	10	1	0	9	13	6	0	7
Aug	53	22	2	29	14	1	0	13	11	2	0	9
Sep	65	29	2	34	15	3	0	12	12	2	3	7
Oct	49	20	0	29	18	5	0	13	20	5	2	13
Nov	36	17	6	13	26	5	0	21	6	3	0	3
Dec	43	16	5	22	29	11	0	18	12	4	0	8
1979 Jan	30	11	5	14	17	2	0	15	2	0	0	2

N.A. = Not available
+ = Less than $500,000
Figures may not add due to rounding

(See Explanatory Notes)

M-190: CORPORATE SECURITIES ISSUES BY METHOD OF DISTRIBUTION AND BY TYPE OF SECURITY: 1975-1980

(Primary issues registered under The Securities Act of 1933)

	Underwritten				Agency Best Efforts				Direct By Issuer			
	Total	Debt	Preferred	Common	Total	Debt	Preferred	Common	Total	Debt	Preferred	Common
					Amounts in $ millions							
1975	38,771	29,907	3,073	5,763	1,200	345	0	856	1,491	776	15	677
1976	33,412	23,755	2,342	7,311	1,085	417	5	664	1,554	1,196	7	304
1977	27,603	19,270	2,361	5,975	2,403	1,261	0	1,142	2,189	1,447	22	719
1978	24,194	17,372	1,734	5,090	2,939	693	0	2,246	1,226	816	25	387
1979	29,859	22,957	1,897	5,007	3,212	990	50	2,173	2,413	899	16	1,500
Year to date												
1979 Jan-Jan	1,900	1,492	151	257	332	26	0	306	187	0	0	187
1980 Jan-Jan	3,188	2,202	338	648	812	576	0	236	153	2	0	151
1979 Jan	1,900	1,492	151	257	332	26	0	306	187	0	0	187
Feb	1,838	1,103	196	540	63	5	0	58	101	0	0	101
Mar	2,169	1,916	0	254	157	30	0	127	344	286	10	49
Apr	2,965	2,593	103	269	469	369	0	100	141	92	0	49
May	2,233	1,910	79	244	215	165	0	50	133	42	0	91
Jun	3,720	3,291	116	312	247	35	0	212	461	150	0	311
Jly	2,408	1,756	239	413	381	177	50	154	71	60	0	11
Aug	2,378	1,496	259	624	294	29	0	265	88	15	3	70
Sep	3,170	2,532	231	407	205	43	0	163	18	0	0	18
Oct	2,900	2,139	95	665	223	5	0	218	523	154	3	366
Nov	2,385	1,541	275	569	231	80	0	151	126	70	0	56
Dec	1,793	1,188	153	453	395	26	0	369	220	30	+	191
1980 Jan	3,188	2,202	338	648	812	576	0	236	153	2	0	151
					Number of issues							
1975	687	428	85	172	62	8	0	54	146	50	2	93
1976	613	327	68	216	90	19	1	70	109	46	2	61
1977	532	296	55	181	128	35	0	93	140	44	5	91
1978	530	257	45	228	188	37	0	151	137	45	6	86
1979	544	249	50	245	185	27	1	157	149	43	4	102
Year to date												
1979 Jan-Jan	30	11	5	14	17	2	0	15	2	0	0	2
1980 Jan-Jan	51	26	7	18	22	6	0	16	14	1	0	13
1979 Jan	30	11	5	14	17	2	0	15	2	0	0	2
Feb	29	11	4	14	8	1	0	7	12	0	0	12
Mar	31	16	0	15	13	1	0	12	21	10	1	10
Apr	51	29	4	18	14	2	0	12	16	3	0	13
May	41	24	3	14	11	3	0	8	16	2	0	14
Jun	66	35	3	28	12	2	0	10	7	1	0	6
Jly	48	21	7	20	15	4	1	10	8	3	0	5
Aug	48	21	5	22	18	4	0	14	15	2	1	12
Sep	62	30	7	25	20	2	0	18	2	0	0	2
Oct	45	18	3	24	19	1	0	18	28	17	1	10
Nov	51	18	6	27	13	3	0	10	10	3	0	7
Dec	42	15	3	24	25	2	0	23	12	2	1	9
1980 Jan	51	26	7	18	22	6	0	16	14	1	0	13

N.A. = Not available
+ = Less than $500,000
Figures may not add due to rounding

(See Explanatory Notes)

A-220: NEW CORPORATE SECURITIES OFFERED FOR CASH IN THE UNITED STATES BY METHOD OF OFFERING AND TYPE OF SECURITY

(Millions of dollars.)

	Total				Publicly-Offered				Privately-Placed			
	All Issues	Bonds & Notes	Pfd.	Common	All Issues	Bonds & Notes	Pfd.	Common	All Issues	Bonds & Notes	Pfd.	Common
					All Industries							
1934	397	372	6	19	305	280	6	19	92	92	0	0
1935	2,333	2,225	86	22	1,946	1,840	84	22	387	385	2	0
1936	4,572	4,029	271	272	4,199	3,660	270	269	373	369	1	3
1937	2,309	1,618	406	285	1,979	1,291	403	285	330	327	3	0
1938	2,155	2,044	86	25	1,463	1,353	85	25	692	691	1	0
1939	2,164	1,979	98	87	1,457	1,276	95	86	707	703	3	1
1940	2,677	2,386	183	108	1,912	1,628	181	103	765	758	2	5
1941	2,667	2,389	168	110	1,854	1,578	167	109	813	811	1	1
1942	1,062	917	111	34	642	506	102	34	420	411	9	0
1943	1,170	990	124	56	798	621	124	53	372	369	0	3
1944	3,204	2,670	369	165	2,417	1,892	362	163	787	778	7	2
1945	6,011	4,855	758	398	4,989	3,851	747	391	1,022	1,004	11	7
1946	6,899	4,882	1,126	891	4,982	3,019	1,084	879	1,917	1,863	42	12
1947	6,576	5,036	761	779	4,341	2,889	682	770	2,235	2,147	79	9
1948	7,080	5,973	492	615	3,993	2,965	433	595	3,087	3,008	59	20
1949	6,051	4,890	424	737	3,549	2,437	382	730	2,502	2,453	42	7
1950	6,361	4,920	631	810	3,681	2,360	519	802	2,680	2,560	112	8
1951	7,740	5,690	838	1,212	4,326	2,364	762	1,200	3,414	3,326	76	12
1952	9,535	7,602	564	1,369	5,533	3,645	522	1,366	4,002	3,957	42	3
1953	8,899	7,084	489	1,326	5,581	3,856	406	1,319	3,318	3,228	83	7
1954	9,515	7,487	815	1,213	5,846	4,003	652	1,191	3,669	3,484	163	22
1955	10,241	7,420	635	2,186	6,764	4,119	516	2,129	3,477	3,301	119	57
1956	10,938	8,002	635	2,301	7,052	4,225	573	2,254	3,886	3,777	62	47
1957	12,884	9,957	411	2,516	8,959	6,118	376	2,465	3,925	3,839	35	51
1958	11,557	9,652	571	1,334	8,068	6,332	434	1,302	3,489	3,320	137	32
1959	9,747	7,189	531	2,027	5,993	3,557	444	1,992	3,754	3,632	87	35
1960	10,123	8,081	408	1,634	6,657	4,806	220	1,631	3,466	3,275	188	33
1961	13,165	9,420	450	3,295	8,143	4,700	217	3,226	5,022	4,720	233	69
1962	10,703	8,969	421	1,313	6,063	4,440	336	1,287	4,640	4,529	85	26
1963	12,237	10,872	343	1,022	5,824	4,714	159	951	6,413	6,158	184	71
1964	13,958	10,866	412	2,680	6,454	3,623	180	2,651	7,504	7,243	232	29
1965	14,782	12,585	726	1,471	6,485	4,688	361	1,436	8,297	7,897	365	35
1966	17,385	14,903	581	1,901	9,853	7,540	435	1,878	7,532	7,363	146	23
1967	24,012	21,205	881	1,926	17,040	14,443	784	1,813	6,972	6,762	97	113
1968	21,261	16,740	636	3,885	14,440	10,215	574	3,651	6,821	6,525	62	234
1969	25,995	17,666	690	7,639	20,218	12,338	598	7,282	5,777	5,328	92	357
1970	37,450	29,025	1,390	7,035	32,526	24,366	1,310	6,850	4,924	4,659	80	185
1971	43,227	30,059	3,684	9,484	36,144	23,293	3,556	9,295	7,083	6,766	128	189
1972	39,716	25,639	3,372	10,705	29,664	16,926	2,408	10,330	10,052	8,713	964	375
1973	31,694	20,701	3,346	7,647	22,908	12,898	2,400	7,610	8,786	7,803	946	37
1974	37,733	31,497	2,257	3,978	31,031	25,337	1,745	3,949	6,701	6,160	512	29
1975 r	53,637	42,763	3,459	7,415	42,858	32,590	3,089	7,179	10,779	10,173	370	236
1976 r	53,319	42,214	2,804	8,301	36,740	26,095	2,353	8,292	16,579	16,119	451	9
1977 r	54,233	42,270	3,918	8,045	34,489	24,209	2,423	7,857	19,744	18,061	1,495	188
1978 r	48,212	37,445	2,832	7,935	29,984	20,472	1,755	7,757	18,228	16,973	1,077	178
1979	53,029	40,794	3,529	8,706	37,018	26,473	1,966	8,579	16,011	14,321	1,563	127

+ = Greater than $0.0, but less than $500,000.
r = revised.
Note: Totals differ from earlier presentations due to changes in rounding procedures.

A-220: NEW CORPORATE SECURITIES OFFERED FOR CASH IN THE UNITED STATES BY METHOD OF OFFERING AND TYPE OF SECURITY

(Millions of dollars)

	Total				Publicly-Offered				Privately-Placed			
	All Issues	Bonds & Notes	Pfd.	Common	All Issues	Bonds & Notes	Pfd.	Common	All Issues	Bonds & Notes	Pfd.	Common
					Manufacturing							
1969	6,345	4,409	96	1,840	4,621	2,820	48	1,753	1,724	1,589	48	87
1970	10,647	9,265	48	1,334	8,848	7,555	33	1,260	1,799	1,710	15	74
1971	11,651	9,568	267	1,816	8,641	6,672	212	1,757	3,010	2,896	55	59
1972	6,402	4,604	205	1,593	3,789	2,172	69	1,548	2,613	2,432	136	45
1973	4,831	4,195	117	519	1,633	1,118	2	513	3,198	3,077	115	6
1974	10,408	9,864	115	429	7,286	6,845	28	413	3,122	3,019	87	16
1975	18,650	16,979	538	1,133	14,148	12,812	379	957	4,502	4,167	159	176
1976	15,494	13,263	338	1,893	8,879	6,720	266	1,893	6,615	6,543	72	0
1977	13,755	12,565	541	649	4,985	4,283	72	630	8,770	8,282	469	19
1978 r	11,059	9,822	395	842	4,500	3,364	306	830	6,559	6,458	89	12
1979	11,554	9,928	648	978	6,704	5,569	239	896	4,850	4,359	409	82
					Extractive							
1969	1,725	381	1	1,343	1,516	201	1	1,314	209	180	0	29
1970	1,812	295	4	1,513	1,676	165	2	1,509	136	130	2	4
1971	1,202	210	17	975	1,051	65	12	974	151	145	5	1
1972	1,849	608	2	1,239	1,599	358	2	1,239	250	250	0	+
1973	1,064	231	10	823	822	0	1	821	242	231	9	2
1974	970	258	0	712	746	35	0	711	224	223	0	1
1975	1,630	679	75	876	1,220	304	75	841	410	375	0	35
1976	1,762	1,016	140	606	884	138	140	606	878	878	0	0
1977	2,683	1,348	25	1,310	1,753	459	0	1,294	930	889	25	16
1978r	3,101	1,450	95	1,556	1,970	344	75	1,551	1,131	1,106	20	5
1979	3,196	1,193	194	1,809	2,488	485	194	1,809	708	708	0	0
					Electric, Gas & Water							
1969	6,715	5,389	529	797	6,118	4,798	523	797	597	591	6	0
1970	11,008	8,007	1,256	1,745	10,403	7,418	1,240	1,745	605	589	16	0
1971	11,721	7,527	1,932	2,262	10,834	6,679	1,901	2,254	887	848	31	8
1972	11,316	6,348	2,414	2,554	10,027	5,197	2,278	2,552	1,289	1,151	136	2
1973	10,275	5,580	1,915	2,780	8,685	4,668	1,237	2,780	1,590	912	678	0
1974	12,837	8,872	2,005	1,960	11,832	8,225	1,647	1,960	1,005	647	358	0
1975	15,893	9,659	2,521	3,713	14,495	8,411	2,390	3,694	1,398	1,248	131	19
1976	14,420	8,298	2,062	4,060	11,825	6,022	1,743	4,060	2,595	2,276	319	0
1977	13,705	7,651	1,990	4,064	11,103	5,732	1,307	4,064	2,602	1,919	683	0
1978 r	12,253	6,983	1,729	3,541	9,138	4,521	1,076	3,541	3,115	2,462	653	0
1979	13,687	8,263	2,029	3,395	9,970	5,411	1,184	3,375	3,717	2,852	845	20
					Transportation							
1969	1,780	1,541	0	239	824	595	0	229	956	946	0	10
1970	1,254	1,217	0	37	612	576	0	36	642	641	0	1
1971	1,148	773	7	368	575	201	7	367	573	572	0	1
1972	862	714	+	148	284	149	0	135	578	565	+	13
1973	811	784	+	27	152	125	0	27	659	659	+	0
1974	1,005	983	0	22	675	653	0	22	330	330	0	0
1975 r	3,638	3,637	0	1	1,971	1,970	0	1	1,667	1,667	0	0
1976 r	4,651	4,627	13	11	2,613	2,602	0	11	2,038	2,025	13	0
1977 r	3,217	2,761	443	13	2,031	1,575	443	13	1,186	1,186	0	0
1978 r	2,695	2,432	147	116	1,254	1,091	47	116	1,441	1,341	100	0
1979	3,295	3,044	8	243	1,270	1,028	4	238	2,025	2,016	4	5

+ = Greater than $0.0, but less than $500,000.
r = revised.
Note: Totals differ from earlier presentations due to changes in rounding procedures.

A-220: NEW CORPORATE SECURITIES OFFERED FOR CASH IN THE UNITED STATES BY METHOD OF OFFERING AND TYPE OF SECURITY

(Millions of dollars)

	Total				Publicly-Offered				Privately-Placed			
	All Issues	Bonds & Notes	Pfd.	Common	All Issues	Bonds & Notes	Pfd.	Common	All Issues	Bonds & Notes	Pfd.	Common
					Communication							
1969	2,172	1,948	1	223	2,049	1,827	0	222	123	121	1	1
1970	5,291	5,051	3	237	5,121	4,890	0	231	170	161	3	6
1971	5,840	4,218	1,399	223	5,679	4,067	1,395	217	161	151	4	6
1972	4,838	3,712	700	426	3,491	3,013	52	426	1,347	699	648	0
1973	4,875	3,523	1,229	123	4,430	3,215	1,104	111	445	308	125	12
1974	3,930	3,708	113	109	3,561	3,389	63	109	369	319	50	+
1975	4,465	3,463	112	890	4,062	3,127	45	890	403	336	67	0
1976	3,562	2,787	109	666	3,256	2,520	70	666	306	267	39	0
1977	4,443	3,064	405	974	3,683	2,617	92	974	760	447	313	0
1978	3,641	3,373	220	48	2,961	2,888	28	45	680	485	192	3
1979	4,695	4,220	205	270	4,050	3,687	93	270	645	533	112	0
					Sales & Consumer Finance							
1969	906	867	24	15	700	681	5	14	206	186	19	1
1970	981	975	1	5	839	833	1	5	142	142	0	0
1971	1,452	1,437	+	15	986	971	+	15	466	466	0	0
1972	1,979	1,974	0	5	1,029	1,025	0	4	950	949	0	1
1973	981	970	10	1	645	644	0	1	336	326	10	0
1974	1,377	1,377	+	0	1,180	1,180	0	0	197	197	+	0
1975	1,010	1,010	0	+	831	831	0	+	179	179	0	0
1976	2,187	2,187	+	0	1,737	1,737	0	0	450	450	+	0
1977	2,047	2,044	+	3	1,276	1,273	+	3	771	771	0	0
1978r	2,466	2,464	2	+	1,702	1,700	2	+	764	764	0	0
1979	2,585	2,481	103	1	1,922	1,921	0	1	663	560	103	0
					Financial & Real Estate							
1969	3,152	1,613	20	1,519	2,118	652	20	1,446	1,034	961	+	73
1970	4,152	2,603	37	1,492	3,276	1,858	2	1,416	856	745	35	76
1971	6,962	4,401	47	2,514	5,922	3,476	22	2,424	1,040	925	25	90
1972	8,996	5,759	40	3,197	7,328	4,309	5	3,014	1,668	1,450	35	183
1973	7,107	4,375	63	2,669	5,623	2,913	54	2,656	1,484	1,462	9	13
1974	5,402	4,847	+	555	4,639	4,095	+	544	763	752	+	11
1975r	5,919	5,432	126	361	4,633	4,152	125	356	1,286	1,280	1	5
1976	8,094	7,323	134	637	5,927	5,166	133	628	2,167	2,157	1	9
1977r	10,618	9,572	298	748	8,223	7,335	293	595	2,395	2,237	5	153
1978r	9,060	7,436	148	1,476	6,708	5,261	129	1,318	2,352	2,175	19	158
1979	10,282	8,890	186	1,206	8,436	7,154	96	1,186	1,846	1,736	90	20
					Commercial & Other							
1969	3,200	1,518	19	1,663	2,272	764	1	1,507	928	754	18	156
1970	2,325	1,612	41	672	1,751	1,071	32	648	574	541	9	24
1971	3,251	1,925	15	1,311	2,456	1,162	7	1,287	795	763	8	24
1972	3,474	1,920	11	1,543	2,117	703	2	1,412	1,357	1,217	9	131
1973	1,750	1,043	2	705	918	215	2	701	832	828	0	4
1974	1,803	1,588	24	191	1,112	915	7	190	691	673	17	1
1975	2,432	1,904	87	441	1,498	983	75	440	934	921	12	1
1976	3,149	2,713	8	428	1,619	1,190	1	428	1,530	1,523	7	0
1977	3,765	3,265	216	284	1,435	935	216	284	2,330	2,330	0	0
1978r	3,937	3,485	96	356	1,751	1,303	92	356	2,186	2,182	4	0
1979	3,735	2,775	156	804	2,178	1,218	156	804	1,557	1,557	0	0

+ = Greater than $0.0, but less than $500,000.
r = revised.
Note: Totals differ from earlier presentations due to changes in rounding procedures.

A-340: MARKET VALUE OF CORPORATE STOCK OUTSTANDING IN THE UNITED STATES

(Billions of Dollars, End of Year)

		1972	1973	1974	1975	1976	1977	1978	1979
1.	Listed Stocks, Total	932.7	763.8	537.3	718.7	898.6	837.6	866.4	1023.1
	a) New York Stock Exchange	871.5	721.0	511.1	685.1	858.3	796.6	822.7	960.6
	b) American Stock Exchange	55.6	38.7	23.3	29.4	36.1	37.6	39.4	57.8
	c) Other Exchanges	5.6	4.1	2.9	4.2	4.2	3.4	4.3	4.7
2.	OTC Stocks, Total	255.5	196.3	167.1	207.7	244.2	243.3	261.7	343.0
	d) OTC Domestic Companies	157.9	107.7	71.5	94.9	119.0	118.4	131.0	167.0
	e) OTC Foreign Companies	22.5	28.6	46.7	50.5	52.6	56.5	55.2	66.7
	f) OTC Investment Companies	75.1	60.0	48.9	62.3	72.6	68.4	75.5	109.3
3.	Total Traded Stock (3=1+2)	1188.2	960.1	704.4	926.4	1142.8	1080.9	1128.1	1366.1
4.	Closely-Held Stock	205.6	166.1	121.9	160.3	197.7	187.0	195.2	235.1
5.	Total Corporate Stock (5=3+4)	1393.8	1126.2	826.3	1086.7	1340.5	1267.9	1323.3	1601.2
6.	Investment Companies	79.8	66.2	52.9	67.2	78.3	73.9	80.4	115.1
7.	Foreign Companies	55.5	60.7	64.1	77.9	84.9	88.0	85.6	99.1
8.	Total Domestic Stock (8=5-6-7)	1258.5	999.3	709.3	941.6	1177.3	1106.0	1157.3	1387.0
9.	Intercorporate Holdings	130.9	107.9	76.6	101.7	181.3 r	170.3 r	178.2 r	213.6
10.	Total Domestic Stock (10=8-9)	1127.6	891.4	632.7	839.9	996.0 r	935.7 r	979.1 r	1173.4
11.	Portfolio Foreign Stock	10.5	10.0	9.0	9.6	9.6 r	10.1 r	11.2 r	15.2
12.	Total Stock Outstanding (12=10+11)	1138.1	901.4	641.7	849.5	1005.6 r	945.8 r	990.3 r	1188.6

r = revised

A-350: MARKET VALUE OF STOCKHOLDINGS OF INSTITUTIONAL INVESTORS AND OTHERS

(Billions of Dollars, End of Year)

	1972	1973	1974	1975	1976	1977	1978	1979
1. Private Noninsured Pension Funds	115.2	90.5	63.3	88.6	109.7	101.9	107.9	123.7
2. Open-End Investment Companies	58.0	43.3	30.3	38.7	43.0	36.2	34.1	34.8
3. Other Investment Companies	7.4	6.6	4.7	5.3	5.9	3.1	2.7	1.8
4. Life Insurance Companies	26.8	25.9	21.9	28.1	34.2	32.9	35.7 r	40.5
5. Property-Liability Ins. Co's 1/	21.8	19.7	12.8	14.2	16.9	17.1	19.4	24.8
6. Personal Trust Funds 2/	117.6	101.3	72.0	86.9	100.8	97.1 r	95.1	106.4
7. Mutual Savings Banks	4.5	4.2	3.7	4.4	4.4	4.8	4.8	4.7
8. State and Local Retirement Funds	22.2	20.2	16.4 r	24.3	30.1	30.0	33.3	37.1
9. Foundations	28.5	24.5	18.4	22.7	27.1	26.1	27.0	40.3
10. Educational Endowments	10.7	9.6	6.7	8.8	10.4	9.8	10.2	10.2
11. Subtotal	412.7	345.8	250.2 r	322.0	382.5	359.0 r	370.2 r	424.3
12. Less: Institutional Holdings of Investment Company Shares 3/	6.5	6.7	6.5	8.6	10.0	10.5	10.3	8.5
13. Total Institutional Investors	406.2	339.1	243.7 r	313.4	372.5	348.5 r	359.9 r	415.8
14. Foreign Investors 4/	41.3	37.0	28.4	52.6	63.9	60.1	68.0 r	80.2
15. Other Domestic Investors 5/	690.6	525.3	369.6 r	483.5	569.2 r	537.2 r	562.4 r	692.6
16. Total Stock Outstanding 6/	1138.1	901.4	641.7 r	849.5	1005.6 r	945.8 r	990.3 r	1188.6

r = revised

1/ Excludes holdings of insurance company stock.
2/ Includes Common Trust Funds
3/ Excludes institutional holdings of money market funds.
4/ Includes estimate of stock held as direct investment.
5/ Computed as residual (line 15=16-14-13). Includes both individuals and institutional groups not listed above.
6/ Includes both common and preferred stock. Excludes investment company shares but includes foreign issues outstanding in the U. S.

STOCKHOLDINGS OF INSTITUTIONAL INVESTORS AND OTHERS, 1979

According to preliminary estimates, ten major categories of institutional investors combined held $415.8 billion or 35 percent of total stock outstanding in the United States at the end of 1979. 1/ This represents an increase in the value of their holdings from $359.9 billon a year earlier but their holdings relative to total stock outstanding dropped from 36.3 percent at year-end 1978. The value of stockholdings of other domestic investors (primarily individuals) increased from $562.4 billion at the end of 1978 to $692.6 billion as of December 31, 1979, while the share of outstanding stock held by this group increased from 56.8 percent to 58.3 percent over this time-span. Foreign investors held 6.7 percent of total stock outstanding as of December 31, 1979, as compared to 6.9 percent a year before.

Private noninsured pension funds and personal trust funds continued to dominate stockholdings among those categories of institutional investors whose stockholdings are shown on Table A-350. Together, their holdings amounted to 54.2 percent of total institutional stockholding at the end of 1979. 2/3/ Open-end investment companies and life insurance companies held $34.8 billion and $40.5 billion, or 8.2 percent and 9.5 percent of institutional holdings, respectively.

1/ See Table A-340 for the derivation of the values for total stock outstanding for the period 1972-1979.

2/ In comparing stockholdings for distinct groups with the total for the ten categories of institutions, the subtotal before deducting institutional holdings of investment company shares (line 11 on on Table A-350) must be used. This results because holdings of investment company shares are not available for each type of institution and the deduction must therefore be made in aggregate.

3/ The market value of total assets and common stockholdings of only nine categories of institutional investors are enumerated on Table A-360. By comparison, data on Table A-350 combine holdings of common and preferred stocks.

A-360: MARKET VALUE OF TOTAL ASSETS AND COMMON STOCKHOLDINGS OF MAJOR INSTITUTIONAL GROUPS

(Billions of Dollars, End of Year)

	1972	1973	1974	1975	1976	1977	1978	1979
Private Noninsured Pension Funds								
Assets	154.4	132.2	111.7	145.6	173.9	181.6	201.5	225.1
Common Stock	113.4	89.5	62.6	87.7	108.5	100.9	106.7	122.7
Investment Companies 1/								
Assets	79.8	66.2	52.9	67.6	78.3	73.9	80.4	115.1
Common Stock	64.0	48.9	34.1	43.1	47.9	38.6	36.3	34.3
Life Insurance Companies 2/ 3/								
Assets	239.7	252.4	263.3	289.3	320.6	350.5	393.4	436.3
Common Stock	21.8	19.6	14.9	20.3	25.8	23.5	24.5	28.5
Property-Liability Insurance Co's 3/ 4/								
Assets	78.9	83.9	82.1	94.0	111.9	133.1	155.9	185.4
Common Stock	18.9	16.3	10.0	11.2	13.6	13.3	15.2	16.9
Personal Trust Funds 5/								
Assets	168.7	157.3	131.5	151.9	167.4	174.5	179.3	192.6
Common Stock	114.1	98.8	70.2	84.3	97.5	92.3	92.7	104.0
Mutual Savings Banks 6/								
Assets	100.6	106.7	109.5	121.1	134.8	147.2	158.1	163.4
Common Stock	2.5	2.8	2.9	2.9	2.8	3.0	3.0	2.9
State and Local Retirement Funds 6/								
Assets	80.6r	80.7r	88.0r	104.8r	120.6r	132.6r	153.0r	178.9
Corp. Equity	22.2	20.2	16.4r	24.3	30.1	30.0	33.3	37.1
Foundations								
Assets	39.5	36.0	29.9	34.2	38.6	37.6	38.5	51.9
Common Stock	28.0	24.1	18.0	22.3	26.6	25.7	26.5	39.6
Educational Endowments								
Assets	16.2	14.3	11.4	13.8	16.1	16.1	16.3	16.9
Common Stock	10.6	9.5	6.7	8.7	10.4	9.7	10.1	10.1

r = revised

1/ Includes open-end, closed-end, face amount, and unit trust companies.
2/ Includes separate accounts.
3/ Statement value.
4/ Excludes holdings of insurance company stock.
5/ See footnote 2 on Table A-350.
6/ Book value.

SOURCE OF DATA ON TABLES A-350 and A-360

1. Private Noninsured Pension Funds: Annual estimates from SEC Survey of Private Noninsured Pension Funds, SEC Statistical Bulletin, May issue.

2. Investment Companies (open-end investment companies and other investment companies): Estimated by SEC.

3. Life Insurance Companies: Monthly Statistical Report, American Council of Life Insurance, March issues.

4. Property-Liability Insurance Companies: Best's Aggregates and Averages, A. M. Best and Company, latest year's data estimated by SEC based on quarterly Survey of Property-Liability Insurance Companies.

5. Personal Trust Funds: Trust Assets of Insured Commercial Banks, Federal Deposit Insurance Corporation, as adjusted by SEC; latest year's data estimated by SEC.

6. Mutal Savings Banks: National Association of Mutual Savings Banks.

7. State and Local Retirement Funds: Flow of Funds Section, Board of Governors of the Federal Reserve System. Separate estimates of common stock (Table A-360) are not available.

8. Foundations: Estimated by SEC.

9. Educational Endowments: National Association of College and University Business Officers.

10. Foreign Investors: Estimated by SEC.

M-10: MARKET VALUE AND VOLUME OF EQUITY SALES ON U. S. SECURITIES EXCHANGES
(Data in Thousands)

May 1980	Total Market $Value	Stocks $Value	Stocks Shares	Options (1) $ Value	Options (1) Contracts	Warrants $Value	Warrants Units	Rights $Value	Rights Units
REGISTERED STOCK EXCHANGES									
American	2,152,824	1,705,420	78,588	426,213	1,748	20,893	1,263	298	413
Boston	165,630	165,630	5,881	0	0	0	0	0	0
* Chicago Option	1,039,844	0	0	1,039,844	2,996	0	0	0	0
Cincinnati	118,178	118,178	3,440	0	0	0	0	0	0
* Midwest	1,587,338	1,530,342	49,771	56,996	239	0	0	0	0
New York	23,415,341	23,401,944	780,306	0	0	13,375	1,791	21	244
* Pacific	705,883	636,721	25,672	66,812	316	2,330	102	19	244
Philadelphia	619,111	469,520	15,209	149,591	575	0	0	0	0
* Intermountain	174	174	121	0	0	0	0	0	0
* Spokane	1,181	1,181	1,040	0	0	0	0	0	0
LATEST 13 MONTHS (2)									
1979 May	23,441,143	22,005,840	810,669	1,385,950	4,064	49,345	7,805	8	1,154
1979 June	27,394,553	25,682,873	961,592	1,627,172	5,412	84,422	6,482	85	2,179
1979 July	26,803,858	25,242,675	923,957	1,438,793	5,007	72,373	7,346	17	248
1979 August	32,530,168	30,294,565	1,124,553	2,119,955	6,621	115,648	8,398	0	0
1979 September	28,325,473	26,152,234	924,226	2,053,209	6,103	117,697	8,106	2,333	23,514
1979 October	36,294,347	33,846,417	1,168,148	2,364,368	7,532	83,492	6,562	69	2,082
1979 November	24,941,194	22,863,699	833,335	2,014,591	5,815	62,434	4,402	469	6,970
1979 December	32,649,195	29,412,749	1,043,884	2,736,945	5,556	50,225	4,816	3,395	1,277
1980 January	44,142,071	39,880,962	1,401,950	4,199,384	9,505	62,544	5,494	1	447
1980 February	49,448,525	45,731,195	1,549,895	3,622,505	7,455	94,826	8,053	0	0
1980 March	38,427,400	35,703,845	1,146,792	2,667,127	7,593	56,423	4,552	0	1
1980 April	28,058,449	26,247,975	963,193	1,782,420	6,084	38,053	4,247	0	0
1980 May	29,805,503	23,029,110	960,028	1,739,455	5,875	36,599	3,157	338	901

(1) Includes all exchange trades in puts and calls. Value and volume of call trading and exercises are shown in Table M-90. Exercises are not included in these totals.
(2) Excludes exempted stock exchanges.

(See Explanatory Notes)

EXPLANATORY NOTES

Market Value and Volume of Equity Security Sales on U. S. Securities Exchanges

Data on the value and volume of equity security sales are reported in connection with fees paid under Section 31 of the Securities Act of 1934 as amended by the Securities Acts Amendments of 1975. The reports include odd-lot as well as round-lot transactions.

Data of those exchanges marked with an asterisk cover transactions cleared during the calendar month; clearance usually occurs within five days of the execution of a trade. Data of other exchanges cover transactions effected on trade dates falling within the reporting month. The inconsistency introduced by these two different methods of aggregating the data is not considered to be significant, and, accordingly, all registered exchanges are aggregated and reported in monthly summaries.

Stock trading data include the trading of voting trust certificates, certificates of deposit for stocks, and American Depository Receipts for stock.

Trading in rights is included in the warrants trading data for all periods prior to January 1972.

M-60: SELECTED STOCK MARKET INDICATORS: JUNE, 1980

(Daily indexes are at close; 21 trading days this month)

		New York Stock Exchange						American Stock Exchange		Over the Counter		
		Standard & Poor		N.Y.S.E.		Dow Jones	Share Volume	Market Value	Share Volume	Nasdaq		Share Volume
Date	Day	500	400	Comp.	Ind.	Ind.	(000)	Index	(000)	Comp.	Ind.	(000)
2	Mon	110.76	124.53	63.22	71.85	847.35	32,410	272.51	4,480	150.17	177.04	15,738
3	Tue	111.69	125.66	63.73	72.54	852.69	38,445	275.85	5,295	150.69	177.67	17,269
4	Wed	112.61	126.78	64.24	73.22	858.02	44,180	279.18	6,110	151.21	178.29	18,801
5	Thu	112.78	126.91	64.38	73.31	858.70	49,070	279.54	6,210	152.21	179.33	20,062
6	Fri	113.20	127.37	64.67	73.64	861.52	37,230	282.01	4,610	152.68	179.91	17,506
9	Mon	113.73	127.97	64.94	74.00	860.67	36,820	283.89	5,350	153.00	180.10	17,363
10	Tue	114.66	129.00	65.43	74.56	863.99	42,030	285.74	5,010	154.15	181.44	18,822
11	Wed	116.02	130.68	66.17	75.48	872.70	43,800	288.92	6,070	155.22	182.62	20,541
12	Thu	115.52	129.98	65.92	75.03	872.61	47,300	287.12	5,800	155.31	182.55	19,988
13	Fri	115.81	130.17	66.13	75.13	876.37	41,800	287.75	5,420	156.59	184.13	17,715
16	Mon	116.09	130.51	66.26	75.37	877.73	36,190	287.21	4,330	156.79	184.56	17,850
17	Tue	116.03	130.43	66.25	75.38	879.27	41,990	288.90	5,240	156.98	184.88	20,018
18	Wed	116.26	130.73	66.36	75.55	881.91	41,960	288.97	5,110	157.19	185.01	19,361
19	Thu	114.66	128.79	65.53	74.46	870.90	38,280	284.38	5,630	156.73	184.07	19,905
20	Fri	114.06	128.09	65.20	74.06	869.71	36,530	285.12	4,050	156.51	183.71	17,757
23	Mon	114.51	128.68	65.46	74.44	873.81	34,180	286.82	4,050	156.99	184.43	15,504
24	Tue	115.14	129.40	65.84	74.88	877.30	37,730	289.46	5,130	157.66	185.68	17,733
25	Wed	116.72	131.35	66.72	76.07	887.54	46,500	294.42	6,520	158.74	187.29	20,191
26	Thu	116.19	130.68	66.44	75.69	883.45	45,110	294.37	6,710	159.00	187.30	23,420
27	Fri	116.00	130.46	66.34	75.60	881.83	33,110	296.76	5,130	159.18	187.75	18,365
30	Mon	114.24	128.34	65.34	74.32	867.92	29,910	293.61	4,770	157.78	185.74	17,595
Daily Average												
1979	Jun	101.72	112.98	57.61	63.56	838.62	34,661	202.31	5,246	135.63	150.99	15,892
1979	Jly	102.71	113.63	58.38	64.24	836.92	32,416	197.63	3,890	138.57	152.55	15,209
1979	Aug	107.35	118.93	61.19	67.70	873.53	35,871	208.28	4,503	146.68	161.68	14,999
1979	Sep	108.59	121.06	61.88	69.17	878.47	37,575	222.99	5,404	149.20	166.42	15,422
1979	Oct	104.73	116.95	59.27	66.68	840.37	37,301	212.32	5,446	140.52	157.62	15,154
1979	Nov	103.66	116.12	59.02	66.44	815.76	31,165	216.58	3,938	138.78	156.95	13,370
1979	Dec	107.77	120.77	61.74	69.82	836.12	35,510	238.82	5,389	148.74	171.41	20,385
1980	Jan	110.86	124.71	63.74	72.66	860.72	52,510	259.53	9,117	155.14	182.02	29,198
1980	Feb	115.33	130.90	66.05	76.41	878.20	47,826	288.99	6,903	161.64	195.37	27,313
1980	Mar	104.68	118.73	59.52	68.71	803.53	41,735	259.79	5,941	141.10	169.95	18,173
1980	Apr	102.96	115.57	58.46	66.30	786.30	32,101	242.60	3,428	136.29	159.83	13,291
1980	May	107.69	120.80	61.38	69.38	828.18	36,424	258.45	3,799	145.68	170.35	15,828
1980	Jun	114.60	128.88	65.45	74.50	870.27	39,741	286.31	5,286	155.46	183.02	18,643

M-70: DAILY SHARE VOLUME IN NYSE-LISTED STOCKS BY MARKET: JUNE, 1980

(Thousands of shares)

Date	Day	New York	Midwest	Pacific	N.A.S.D.	Phila.	Boston	Cincinnati	Instinet	American	Total
2	Mon	32,410	1,529	1,130	409	842	312	199	15	0	36,846
3	Tue	38,445	1,809	1,233	610	815	223	443	25	0	43,603
4	Wed	44,180	2,088	1,336	810	788	134	244	35	0	49,615
5	Thu	49,070	2,483	1,638	569	766	216	202	18	0	54,962
6	Fri	37,230	1,604	1,319	533	836	295	128	21	0	41,966
9	Mon	36,820	1,558	1,333	888	1,372	195	130	8	4	42,308
10	Tue	42,030	2,253	1,319	853	1,059	253	154	6	0	47,927
11	Wed	43,800	2,695	1,342	906	1,053	425	255	17	1	50,494
12	Thu	47,300	2,396	3,054	1,598	1,198	345	165	61	0	56,117
13	Fri	41,800	1,969	1,306	959	796	408	265	13	0	47,516
16	Mon	36,190	1,794	1,287	688	727	417	177	68	0	41,348
17	Tue	41,990	2,344	1,205	919	825	264	213	269	0	48,029
18	Wed	41,960	1,978	2,235	873	867	346	324	102	2	48,687
19	Thu	38,280	2,338	1,538	1,024	794	262	736	45	0	45,017
20	Fri	36,530	1,663	1,200	1,019	627	288	152	6	0	41,485
23	Mon	34,180	1,670	969	529	626	131	250	55	0	38,410
24	Tue	37,730	2,240	1,390	1,079	1,126	216	180	15	0	43,976
25	Wed	46,500	2,339	1,562	1,152	729	280	169	1	0	52,732
26	Thu	45,110	2,638	1,833	1,624	702	567	242	60	0	52,776
27	Fri	33,110	1,624	1,156	733	770	314	169	47	0	37,923
30	Mon	29,910	1,766	1,162	494	549	182	142	5	0	34,210
Daily Average											
1979	Jun	34,661	1,399	1,209	713	642	197	129	39	0	38,993
1979	Jly	32,416	1,512	1,266	702	673	218	164	39	1	36,995
1979	Aug	35,871	1,558	1,338	680	698	248	126	31	0	40,554
1979	Sep	37,575	1,627	1,407	779	754	276	137	84	0	42,642
1979	Oct	37,301	1,456	1,308	725	710	192	127	51	0	41,874
1979	Nov	31,165	1,479	1,167	766	662	205	133	43	0	35,621
1979	Dec	35,510	1,667	1,325	1,016	782	207	206	27	0	40,744
1980	Jan	52,510	2,396	1,683	1,054	928	257	207	39	0	59,079
1980	Feb	47,826	2,376	1,521	1,030	898	247	219	48	0	54,169
1980	Mar	41,735	1,854	1,312	817	831	242	225	72	0	47,092
1980	Apr	32,101	1,754	1,068	736	649	188	179	102	0	36,781
1980	May	36,424	2,189	1,158	867	738	257	190	34	0	41,861
1980	Jun	39,741	2,037	1,454	869	850	289	235	42	0	45,521

M-72: PERCENTAGE OF MONTHLY SHARE VOLUME IN NYSE-LISTED STOCKS BY MARKET

Year	Month	New York	Midwest	Pacific	N.A.S.D.	Phila.	Boston	Cin.	Instinet	American	Total
1978	Jan	87.145	4.551	2.780	2.814	1.410	0.623	0.604	0.063	0.006	100.000
1978	Feb	87.582	4.238	3.159	2.421	1.507	0.452	0.467	0.164	0.006	100.000
1978	Mar	87.276	4.348	3.024	2.817	1.496	0.639	0.343	0.046	0.006	100.000
1978	Apr	89.110	4.040	2.609	1.965	1.308	0.683	0.210	0.069	0.002	100.000
1978	May	89.412	3.805	2.731	2.004	1.275	0.612	0.105	0.050	0.002	100.000
1978	Jun	89.157	3.891	2.684	2.098	1.420	0.567	0.102	0.075	0.002	100.000
1978	Jly	88.068	4.238	2.824	2.344	1.599	0.706	0.141	0.071	0.003	100.000
1978	Aug	88.767	3.919	2.905	2.225	1.391	0.626	0.103	0.058	0.002	100.000
1978	Sep	88.894	3.928	2.817	2.068	1.548	0.500	0.131	0.105	0.004	100.000
1978	Oct	88.349	3.793	2.980	2.296	1.504	0.681	0.293	0.099	0.000	100.000
1978	Nov	87.804	3.797	3.195	2.413	1.605	0.599	0.472	0.113	0.000	100.000
1978	Dec	87.396	4.125	3.389	2.324	1.673	0.549	0.360	0.179	0.000	100.000
1979	Jan	88.052	3.807	3.335	1.994	1.706	0.679	0.290	0.131	0.002	100.000
1979	Feb	88.153	3.895	3.113	2.227	1.501	0.546	0.347	0.214	0.000	100.000
1979	Mar	88.185	3.875	3.179	2.021	1.533	0.681	0.412	0.108	0.000	100.000
1979	Apr	86.861	4.579	3.392	1.885	1.844	0.796	0.459	0.178	0.000	100.000
1979	May	88.256	3.971	2.871	1.954	1.854	0.600	0.388	0.100	0.000	100.000
1979	Jun	88.891	3.589	3.101	1.829	1.648	0.507	0.331	0.100	0.000	100.000
1979	Jly	87.622	4.089	3.423	1.897	1.821	0.589	0.445	0.107	0.003	100.000
1979	Aug	88.453	3.844	3.299	1.678	1.721	0.612	0.311	0.077	0.001	100.000
1979	Sep	88.117	3.815	3.300	1.827	1.769	0.648	0.323	0.197	0.000	100.000
1979	Oct	89.079	3.478	3.124	1.733	1.697	0.459	0.304	0.122	0.000	100.000
1979	Nov	87.488	4.153	3.276	2.150	1.858	0.576	0.373	0.122	0.000	100.000
1979	Dec	87.155	4.092	3.253	2.495	1.920	0.508	0.505	0.068	0.000	100.000
1980	Jan	88.881	4.055	2.850	1.785	1.572	0.435	0.351	0.066	0.000	100.000
1980	Feb	88.290	4.387	2.808	1.902	1.658	0.457	0.404	0.089	0.000	100.000
1980	Mar	88.625	3.938	2.786	1.736	1.765	0.514	0.479	0.153	0.000	100.000
1980	Apr	87.276	4.771	2.904	2.002	1.765	0.512	0.488	0.279	0.000	100.000
1980	May	87.012	5.230	2.767	2.073	1.764	0.614	0.455	0.082	0.000	100.000
1980	Jun	87.303	4.474	3.195	1.911	1.869	0.635	0.516	0.093	0.000	100.000

M-80: AGGREGATE VOLATILITY AND LIQUIDITY MEASURES FOR NYSE-LISTED STOCKS

1976 - 1980

Year	January	February	March	April	May	June	July	August	Sept.	October	November	December
				Part A: Aggregate Volatility Standard & Poors 500 Stock Index								
1976	1.65	1.26	1.17	1.01	1.11	0.78	0.73	0.95	0.75	1.38	1.28	0.76
1977	0.89	0.59	0.80	0.94	0.86	0.67	0.39	0.75	0.67	0.89	1.11	0.53
1978	0.70	0.67	0.80	1.12	1.08	0.77	0.71	0.78	1.35	1.55	1.57	1.03
1979	1.12	0.90	0.83	0.76	0.79	0.73	0.59	0.55	1.03	1.61	1.11	0.49
1980	1.16	1.69	1.56	1.19	0.81	0.98						
				Part B: Aggregate Liquidity Standard & Poors 500 Stock Index								
1976	4.28	4.34	4.27	4.24	4.20	4.29	4.54	4.28	4.31	4.07	4.12	4.68
1977	4.54	4.70	4.42	4.20	4.41	4.59	4.49	4.43	4.50	4.42	4.15	4.43
1978	4.35	4.33	4.50	4.30	4.42	4.40	4.41	3.95	3.87	3.23	3.87	4.04
1979	4.39	4.19	4.47	4.53	4.39	4.71	4.52	4.89	4.28	3.48	4.16	4.74
1980	4.28	4.19	3.82	3.96	4.24	4.34						

(See Explanatory Notes)

EXPLANATORY NOTES

Aggregate Volatility and Liquidity Measures For NYSE-Listed Stocks

The volatility of NYSE-listed stocks is the extent to which stock prices change from day to day.

Aggregate monthly price volatility is reflected in a dispersion measure of day-to-day percent changes in the Standard and Poor's Composite Index; this index was chosen because of its breadth and historical coverage enabling a review of volatility back to 1928. The day-to-day percent changes for any interval are ranked from low to high and are divided into four "equal" groups by the first, second and third quartiles. The difference between the third and first quartiles— the interquartile range—is the measure of volatility. This is a widely used measure of dispersion and always a positive number. The interquartile range is not sensitive to the magnitude of monthly extremes but will indicate shifts in the frequency or relative number of large day-to-day changes. These attributes filter out the impact of short-term shocks and enable identification of months characterized by relatively high price volatility.

A more detailed description of the statistical approaches used in developing this measure of NYSE price volatility is available from the SEC's Directorate of Economic and Policy Analysis in a staff paper entitled Analysis of the Impact of Competitive Commission Rates on Aggregate Price Volatility of NYSE Stocks.

Liquidity refers to the responsiveness of stock price changes to transaction volume. If stock price movements are highly insensitive to volume traded, then the market is considered liquid. Conversely, if stock price changes are highly responsive to volume traded, then the market is considered illiquid.

The aggregate liquidity index is computed by comparing daily price changes for the Standard and Poor's Composite Index to the daily turnover of NYSE shares outstanding. For every trading day during the month, the natural logarithm of the price relative and the daily turnover rate—the ratio of share volume to shares outstanding—is computed. Then, the square of the log price relative is divided by the turnover rate, and this quantity is averaged over the entire month. This average is the monthly estimate of liquidity.

A detailed description of the statistical model which yields these estimates of liquidity is available from the SEC's Directorate of Economic and Policy Analysis in a staff paper entitled Analysis of the Impact of Competitive Rates on the Liquidity of NYSE Stocks.

M-90: MARKET VALUE AND VOLUME OF EXCHANGE-TRADED CALL OPTIONS BY EXCHANGE

	CBOE	AMEX	Phila	Pacific	Midwest	Total	Total Exercised
			Number of Contracts Traded (Thousands)				
1975	14,428	N.A.	N.A.	*	*	14,428	324
1976	21,498	8,171(1)	1,191(1)	550	15	31,425	858
1977	23,583	9,655	2,002	1,704	497	37,441	1,013
1978	30,743	13,644	3,010	2,929	2,041	52,367	1,462
1979	29,918	16,505	4,527	3,118	1,847	55,915	2,097
1979 May	1,961	1,170	275	259	136	3,801	168
1979 Jun	2,377	1,282	428	250	149	4,486	109
1979 Jly	2,251	1,236	389	271	124	4,271	189
1979 Aug	3,179	1,761	415	355	203	5,914	340
1979 Sep	2,716	1,565	574	257	172	5,284	152
1979 Oct	3,270	1,950	536	297	167	6,221	170
1979 Nov	2,559	1,531	413	256	203	4,962	229
1979 Dec	2,596	1,459	378	255	243	4,931	130
1980 Jan	4,308	2,589	730	475	263	8,365	420
1980 Feb	3,427	2,058	531	335	250	6,601	405
1980 Mar	3,215	2,093	447	279	276	6,310	56
1980 Apr	2,673	1,648	419	246	154	5,140	125
1980 May	2,422	1,551	499	243	168	4,882	180
			Value of Contracts Traded ($ Millions)				
1975	6,423	N.A.	N.A.	*	*	6,423	1,278
1976	9,040	2,205(1)	325(1)	161	3	11,734	3,355
1977	7,507	1,859	366	419	82	10,233	3,697
1978	12,851	3,467	491	710	376	17,895	5,392
1979	12,131	5,845	1,309	782	632	20,699	8,301
1979 May	750	385	52	44	33	1,265	572
1979 Jun	728	538	126	49	45	1,487	344
1979 Jly	682	394	97	70	48	1,292	747
1979 Aug	1,173	510	100	92	81	1,956	1,065
1979 Sep	989	499	231	69	71	1,858	512
1979 Oct	1,163	512	198	72	51	1,996	684
1979 Nov	928	550	140	71	71	1,760	758
1979 Dec	1,266	928	153	103	128	2,579	407
1980 Jan	2,107	1,184	296	204	156	3,947	1,708
1980 Feb	1,851	1,013	252	138	123	3,377	1,582
1980 Mar	1,195	697	114	70	101	2,176	173
1980 Apr	862	375	82	60	51	1,431	472
1980 May	868	385	136	54	44	1,487	602

* Exchange was not trading in options yet.
N.A. Not available
(1) January 1976 data not available for American or Philadelphia Stock Exchanges.

(See Explanatory Notes)

M-100: CONTRACT VOLUME IN EXCHANGE-TRADED CALL OPTIONS BY EXPIRATION MONTH

(Thousands of contracts)

Option Expiration Month		1979 May	Jun	Jly	Aug	Sep	Oct	Nov	Dec	1980 Jan	Feb	Mar	Apr	May
1979	May	710												
	Jun	343	252											
	Jly	920	1,267	781										
	Aug	339	1,183	1,012	1,000									
	Sep	133	255	282	528	334								
	Oct	309	569	925	1,535	1,424	1,105							
	Nov	275	405	442	1,206	1,349	1,532	859						
	Dec	58	96	113	257	381	407	434	435					
1980	Jan	160	257	396	578	733	1,322	1,309	1,464	1,637				
	Feb	55	184	198	401	494	853	1,140	1,287	1,899	939			
	Mar		20	63	128	137	176	229	394	808	749	476		
	Apr			59	222	255	422	409	587	1,927	1,996	1,971	831	
	May				60	156	284	316	363	963	1,439	1,583	1,321	679
	Jun					21	76	83	127	241	312	517	417	518
	Jly						45	142	163	486	510	747	1,200	1,499
	Aug							42	102	225	298	391	538	947
	Sep								10	88	100	159	150	223
	Oct									91	206	291	380	455
	Nov										51	159	205	321
	Dec											14	52	79
1981	Jan												45	121
	Feb													40
Totals		3,801	4,486	4,271	5,914	5,284	6,221	4,962	4,931	8,365	6,601	6,310	5,140	4,882

(See Explanatory Notes)

M-110: OPEN INTEREST IN EXCHANGE-TRADED CALL OPTIONS BY EXPIRATION MONTH

(Thousands of contracts)

Option Expiration Month	1979 May	Jun	Jly	Aug	Sep	Oct	Nov	Dec	1980 Jan	Feb	Mar	Apr	May
1979 May													
Jun	330												
Jly	868	1,018											
Aug	558	700	731										
Sep	169	253	290	283									
Oct	377	560	804	980	970								
Nov	235	343	451	723	873	899							
Dec	51	92	131	198	299	347	356						
1980 Jan	117	234	388	530	706	988	1,099	1,090					
Feb	37	119	190	315	447	630	863	975	849				
Mar		14	50	99	151	192	245	342	428	433			
Apr			44	159	257	376	466	613	990	1,196	1,301		
May				41	115	201	300	377	573	895	1,092	1,123	
Jun					14	53	85	125	182	256	383	439	472
Jly						31	103	168	333	456	623	958	1,157
Aug							26	72	150	239	331	480	748
Sep								7	49	86	134	176	246
Oct									59	148	238	369	498
Nov										32	96	167	292
Dec											10	36	72
1981 Jan												32	101
Feb													29
Totals	2,742	3,333	3,078	3,329	3,834	3,717	3,542	3,768	3,612	3,741	4,207	3,780	3,614

(See Explanatory Notes)

EXPLANATORY NOTES

Options Tables

An exchange traded call option provides the right to purchase 100 shares of an underlying stock at a specified price until a specified expriation date. Exchange traded options have a maximum maturity of nine months.

The expiration date of an option is the Saturday following the third Friday of the designated expiration month. An option maturity is the collective term for all options with the same expiration month.

Options expire quarterly and belong to one of three expiration cycles: (1) January-April-July-October; (2) February-May-August-November; and (3) March-June-September-December. At any point in time, options are traded in the nearest three of the four expiration months of a cycle. Nine option maturities may then by traded simultaneously and ten maturities may be traded during a month since a new series is introduced following the expiration date.

Table M-90 reports the number and dollar value of contracts sold monthly by each exchange. Contract volume includes both opening transactions (which establish new or short option positions) and closing transactions (which eliminate long or short option positions). Long positions in options are eliminated through closing sales, exercises, or expirations.

Table M-100 presents monthly contract sales in each option maturity. At the top of each column the calendar month identifies the interval over which contract volume is aggregated. Expiration months are arrayed along the left margin. For example, February contract volume in options with a July maturity is located in the cell at the intersection of the February column and the July row.

Table M-110 reports the open interest in each option maturity as of the last trading day of the month. Open interest is defined as the number of contracts outstanding; that is, the number of contracts sold that have not been closed out by an offsetting transaction, not exercised, nor expired. At the top of each column the calendar month identifies the date that open interest is calculated. Expiration months are arrayed along the left margin. For example, open interest at the end of February in options with a July maturity is located in the cell at the intersection of the February column and the July row.

M-120: GROSS PROCEEDS FROM PRIMARY CORPORATE SECURITIES OFFERINGS, BY INDUSTRY: 1975-1980

	Total	Manufacturing	Extractive	Electric Gas And Water	Transportation	Communication	Sales And Consumer Finance	Financial And Real Estate	Commercial And Other	Foreign Corporate Included
				Amounts in $ millions						
1975	53,629	18,651	1,628	15,894	3,638	4,464	1,010	5,918	2,428	1,287
1976	53,314	15,496	1,762	14,418	4,649	3,562	2,186	8,085	3,160	1,584
1977	54,229	13,757	2,684	13,704	3,218	4,443	2,046	10,617	3,765	1,347
1978	48,212	11,062	3,100	12,253	2,696	3,640	2,465	9,061	3,939	1,349
1979r	53,015	11,552	3,192	13,687	3,294	4,694	2,584	10,284	3,737	1,008
Year to date										
1979 Jan-Apr	16,830	2,918	834	4,417	1,051	1,830	704	4,079	999	117
1980 Jan-Apr	23,174	8,347	1,585	5,631	786	1,921	446	3,134	1,323	258
1979 Apr r	4,792	822	171	1,130	331	261	277	1,631	172	34
May r	4,298	1,285	182	865	226	109	352	990	289	1
Jun r	6,014	1,193	363	1,351	338	409	188	1,689	484	8
Jly r	4,139	1,007	102	879	370	95	379	995	312	227
Aug r	4,491	1,163	156	731	312	337	282	982	525	110
Sep r	5,032	1,489	534	1,447	217	671	127	367	181	426
Oct	4,672	1,488	409	1,536	295	312	63	263	306	10
Nov	3,947	477	226	1,433	291	856	143	306	218	21
Dec	3,592	532	386	1,028	194	75	346	613	418	88
1980 Jan	6,551	1,817	530	1,873	356	483	170	904	417	47
Feb	4,335	1,340	321	1,212	222	572	134	166	367	122
Mar	6,576	3,391	265	1,631	103	223	35	724	206	0
Apr	5,712	1,799	469	915	105	643	107	1,340	333	89
				Number of issues						
1975	2,032	597	124	497	124	111	48	268	263	57
1976	2,128	617	120	410	155	78	74	316	358	53
1977	2,143	619	136	317	144	81	78	310	458	27
1978	2,149	594	167	305	129	78	81	336	459	28
1979 r	2,233	530	208	310	197	89	66	360	473	34
Year to date										
1979 Jan-Apr	682	168	58	99	54	23	25	106	149	10
1980 Jan-Apr	744	195	91	115	45	31	25	111	131	9
1979 Apr r	159	24	21	19	17	4	5	34	35	3
May r	183	36	19	20	17	8	4	38	41	1
Jun r	203	49	27	27	18	6	2	30	44	2
Jly r	180	30	14	22	19	8	8	33	46	4
Aug r	203	58	18	22	19	7	4	38	37	3
Sep	197	54	22	27	21	10	7	23	33	7
Oct	201	54	15	31	14	10	6	23	48	1
Nov	172	32	13	37	17	10	2	30	31	3
Dec	212	49	22	25	18	7	8	39	44	3
1980 Jan	240	74	18	40	18	3	9	35	43	5
Feb	169	39	22	27	13	10	3	22	33	1
Mar	150	31	23	26	4	7	5	30	24	0
Apr	185	51	28	22	10	11	8	24	31	3

Figures may not add due to rounding

r - Revisions

(See Explanatory Notes)

M-130: GROSS PROCEEDS FROM PRIMARY SECURITIES OFFERINGS, BY TYPE OF OFFERING: 1975-1980

	Foreign Government	Total Corporate	1933 Act Registration	Regulation A	Private Placements	Public Exempt Offerings
			Amounts in $ millions			
1975	3,592	53,632	41,711	49	10,780	1,092
1976	5,511	53,313	35,861	45	16,579	828
1977	4,602	54,231	32,165	47	19,742	2,277
1978	4,329	48,213	28,337	61	18,231	1,585
1979	4,610	53,016	35,224	182	16,002	1,608
Year to date						
1979 Jan-Apr	1,501	16,830	10,662	45	5,942	181
1980 Jan-Apr	756	23,173	17,235	63	5,875	N.A.
1979 Apr	199	4,793	3,576	8	1,180	29
May	1,298	4,299	2,581	24	1,670	24
Jun	344	6,015	4,191	12	1,341	471
Jly	124	4,137	2,859	15	993	270
Aug	100	4,491	2,759	17	1,186	529
Sep	515	5,033	3,378	19	1,547	89
Oct	198	4,671	3,646	20	1,005	0
Nov	521	3,947	2,739	11	1,197	0
Dec	9	3,593	2,409	19	1,121	44
1980 Jan	499	6,550	4,058	13	2,479	N.A.
Feb	6	4,335	2,867	17	1,452	N.A.
Mar	251	6,576	5,534	13	1,028	N.A.
Apr	0	5,712	4,776	20	916	N.A.
			Number of issues			
1975	41	2,034	881	130	970	51
1976	74	2,128	809	123	1,148	48
1977	66	2,143	793	125	1,169	56
1978	51	2,149	849	159	1,096	45
1979	42	2,233	876	235	1,088	34
Year to date						
1979 Jan-Apr	16	682	243	62	368	9
1980 Jan-Apr	11	744	344	93	307	N.A.
1979 Apr	1	159	81	12	64	2
May	3	183	68	27	87	1
Jun	4	203	85	15	98	5
Jly	1	180	71	26	78	5
Aug	1	203	81	21	91	10
Sep	5	197	84	20	91	2
Oct	1	201	92	21	88	0
Nov	9	172	73	17	82	0
Dec	2	212	79	26	105	2
1980 Jan	7	240	86	19	135	N.A.
Feb	2	169	71	28	70	N.A.
Mar	2	150	92	15	43	N.A.
Apr	0	185	95	31	59	N.A.

Figures may not add due to rounding

(See Explanatory Notes)

M-140: GROSS PROCEEDS FROM PRIMARY CORPORATE NON-CONVERTIBLE BOND OFFERINGS, BY INDUSTRY: 1975-1980

	Total	Publicly Offered	Privately Placed	Manufacturing	Extractive	Electric Gas And Water	Transportation	Communication	Sales- & Consumer Finance	Financial And Real Estate	Commercial & Other
				Amounts in $ millions							
1975	41,456	31,290	10,166	16,244	647	9,654	3,612	3,462	1,010	5,008	1,818
1976	41,210	25,220	15,990	12,496	978	8,290	4,547	2,786	2,171	7,278	2,668
1977	41,403	23,717	17,686	11,877	1,313	7,601	2,711	3,062	2,043	9,550	3,251
1978	37,025	20,065	16,960	9,541	1,432	6,983	2,432	3,363	2,463	7,371	3,441
1979 r	39,918	25,605	14,314	9,600	1,104	8,263	2,843	4,219	2,480	8,673	2,741
Year to date											
1979 Jan-Apr	13,768	8,080	5,688	2,693	408	2,846	996	1,595	704	3,698	829
1980 Jan-Apr	14,717	9,220	5,496	4,129	332	3,732	624	1,802	424	2,732	943
1979 Apr r	4,204	3,077	1,127	733	28	873	331	261	277	1,548	103
May r	3,710	2,142	1,569	1,200	86	638	181	102	352	969	182
Jun r	4,938	3,762	1,176	1,146	57	1,103	135	379	188	1,454	427
Jly r	3,004	2,129	875	969	32	264	340	26	379	785	209
Aug r	2,926	1,960	966	723	25	137	170	336	282	874	379
Sep r	3,570	2,437	1,133	984	355	920	213	588	127	251	132
Oct	3,153	2,250	903	1,312	33	874	295	265	62	94	219
Nov	2,696	1,667	1,029	315	47	818	289	854	40	244	91
Dec	2,153	1,178	975	258	61	663	174	74	346	304	273
1980 Jan	5,133	2,748	2,384	1,476	240	1,328	297	483	170	754	385
Feb	2,663	1,233	1,430	925	7	585	164	533	112	90	247
Mar	2,494	1,708	786	293	23	1,223	67	196	35	615	44
Apr	4,427	3,531	896	1,435	62	596	96	590	107	1,273	267
				Number of issues							
1975	1,435	525	910	498	32	283	122	93	46	198	163
1976	1,512	418	1,094	492	48	226	149	67	72	238	220
1977	1,526	420	1,106	496	46	174	129	62	75	216	328
1978	1,414	373	1,041	443	53	166	113	64	79	202	294
1979r	1,364	333	1,031	339	45	179	180	67	64	225	265
Year to date											
1979 Jan-Apr	450	94	356	119	14	60	51	20	25	68	93
1980 Jan-Apr	389	92	297	117	11	71	37	23	24	57	49
1979 Apr r	99	36	63	15	5	12	17	4	5	23	18
May r	112	32	80	24	4	13	13	6	4	27	21
Jun r	136	41	95	36	10	20	16	5	2	21	26
Jly r	106	33	73	23	2	6	18	5	8	22	22
Aug r	116	33	83	33	1	10	17	6	4	22	23
Sep	116	30	86	32	4	18	19	6	7	13	17
Oct	111	31	80	29	3	15	13	6	5	14	26
Nov	100	21	79	15	4	21	16	8	1	19	16
Dec	117	18	99	28	3	16	17	5	8	19	21
1980 Jan	160	29	131	57	4	28	17	3	9	21	21
Feb	81	12	69	23	1	15	10	8	2	11	11
Mar	60	21	39	10	4	14	2	4	5	12	9
Apr	88	30	58	27	2	14	8	8	8	13	8

Figures may not add due to rounding

(See Explanatory Notes)

r - Revisions

M-150: GROSS PROCEEDS FROM PRIMARY CORPORATE CONVERTIBLE BOND OFFERINGS, BY INDUSTRY: 1975-1980

	Total	Publicly Offered	Privately Placed	Manufacturing	Extractive	Electric Gas And Water	Transportation	Communication	Sales- & Consumer Finance	Financial And Real Estate	Commercial & Other
				Amounts in $ millions							
1975	1,302	1,296	6	735	30	4	25	0	+	423	85
1976	996	869	129	769	38	7	78	1	15	36	56
1977	863	489	372	689	36	50	50	1	0	21	14
1978	418	401	17	283	17	0	0	10	0	64	45
1979r	863	863	0	326	85	0	200	0	0	219	35
Year to date											
1979 Jan-Apr	17	17	0	3	0	0	0	0	0	5	10
1980 Jan-Apr	1,291	1,271	20	1,126	100	0	0	0	0	48	17
1979 Apr r	9	9	0	3	0	0	0	0	0	5	2
May r	0	0	0	0	0	0	0	0	0	0	0
Jun r	185	185	0	0	0	0	150	0	0	35	0
Jly r	137	137	0	0	0	0	30	0	0	107	0
Aug r	109	109	0	80	15	0	0	0	0	14	0
Sep	228	228	0	164	10	0	0	0	0	55	0
Oct	50	50	0	25	5	0	0	0	0	0	20
Nov	24	24	0	4	20	0	0	0	0	0	0
Dec	113	113	0	50	35	0	20	0	0	3	5
1980 Jan	32	32	0	8	0	0	0	0	0	15	9
Feb	76	76	0	35	0	0	0	0	0	33	8
Mar	800	800	0	800	0	0	0	0	0	0	0
Apr	383	363	20	283	100	0	0	0	0	0	0
				Number of issues							
1975	31	25	6	14	1	1	1	0	1	5	8
1976	38	28	10	10	2	2	2	1	1	7	13
1977	30	20	10	14	6	1	1	1	0	3	4
1978	22	20	2	10	2	0	0	1	0	5	4
1979r	37	36	0	11	7	0	3	0	0	9	7
Year to date											
1979 Jan-Apr	6	5	0	1	0	0	0	0	0	1	4
1980 Jan-Apr	19	18	1	11	2	0	0	0	0	3	3
1979 Apr r	3	3	0	1	0	0	0	0	0	1	1
May r	0	0	0	0	0	0	0	0	0	0	0
Jun r	2	2	0	0	0	0	1	0	0	1	0
Jly r	3	3	0	0	0	0	1	0	0	2	0
Aug r	4	4	0	1	1	0	0	0	0	2	0
Sep	6	6	0	3	1	0	0	0	0	2	0
Oct	6	6	0	4	1	0	0	0	0	0	1
Nov	3	3	0	1	2	0	0	0	0	0	0
Dec	7	7	0	1	2	0	1	0	0	1	2
1980 Jan	4	4	0	1	0	0	0	0	0	1	2
Feb	6	6	0	3	0	0	0	0	0	2	1
Mar	2	2	0	2	0	0	0	0	0	0	0
Apr	7	6	1	5	2	0	0	0	0	0	0

+ = Less than $500,000
Figures may not add due to rounding
r - Revisions

(See Explanatory Notes)

M-160: GROSS PROCEEDS FROM PRIMARY CORPORATE PREFERRED STOCK OFFERINGS, BY INDUSTRY: 1975-1980

	Total	Publicly Offered	Privately Placed	Manufacturing	Extractive	Electric Gas And Water	Transportation	Communication	Sales- & Consumer Finance	Financial And Real Estate	Commercial & Other
				Amounts in $ millions							
1975	3,458	3,088	370	537	75	2,521	0	112	0	126	87
1976	2,803	2,353	451	338	140	2,061	13	109	+	134	8
1977	3,916	2,421	1,495	541	25	1,989	443	405	+	298	216
1978	2,832	1,759	1,077	396	95	1,729	147	220	2	149	97
1979	3,525	1,965	1,562	648	194	2,029	8	205	103	186	157
Year to date											
1979 Jan-Apr	711	461	251	25	65	509	0	65	0	11	38
1980 Jan-Apr	1,133	816	316	386	106	551	36	22	22	1	8
1979 Apr	155	103	53	0	65	53	0	0	0	0	38
May	178	80	98	64	0	104	4	5	0	0	1
Jun	278	116	162	0	91	72	0	0	0	115	0
Jly	392	289	103	27	0	273	0	35	0	50	7
Aug	401	262	140	181	0	212	0	0	0	9	0
Sep	645	231	414	217	0	340	4	75	0	0	10
Oct	195	98	97	18	0	152	0	25	0	0	0
Nov	443	275	168	12	38	190	0	0	103	0	100
Dec	282	153	129	104	0	177	0	0	0	1	+
1980 Jan	297	244	53	53	0	243	0	0	0	0	+
Feb	88	66	22	0	1	65	0	0	22	0	0
Mar	525	283	241	333	0	133	36	22	0	1	0
Apr	223	223	0	0	105	110	0	0	0	0	8
				Number of issues							
1975	134	89	45	17	1	97	0	11	0	4	4
1976	113	72	41	13	2	81	1	7	1	5	3
1977	110	66	44	21	1	58	7	6	1	13	3
1978	100	58	42	10	2	53	4	7	1	15	8
1979	105	59	46	20	7	52	2	7	1	9	7
Year to date											
1979 Jan-Apr	25	16	9	4	3	13	0	1	0	2	2
1980 Jan-Apr	29	24	5	3	3	16	2	1	1	1	2
1979 Apr	5	4	1	0	3	1	0	0	0	0	1
May	8	4	4	2	0	3	1	1	0	0	1
Jun	5	3	2	0	2	1	0	0	0	2	0
Jly	12	8	4	1	0	7	0	2	0	1	1
Aug	13	6	7	5	0	6	0	0	0	2	0
Sep	12	7	5	3	0	5	1	2	0	0	1
Oct	11	4	7	2	0	8	0	1	0	0	0
Nov	9	6	3	1	2	4	0	0	1	0	1
Dec	10	5	5	2	0	5	0	0	0	2	1
1980 Jan	8	7	1	1	0	6	0	0	0	0	1
Feb	4	3	1	0	1	2	0	0	1	0	0
Mar	11	8	3	2	0	5	2	1	0	1	0
Apr	6	6	0	0	2	3	0	0	0	0	1

Figures may not add due to rounding

(See Explanatory Notes)

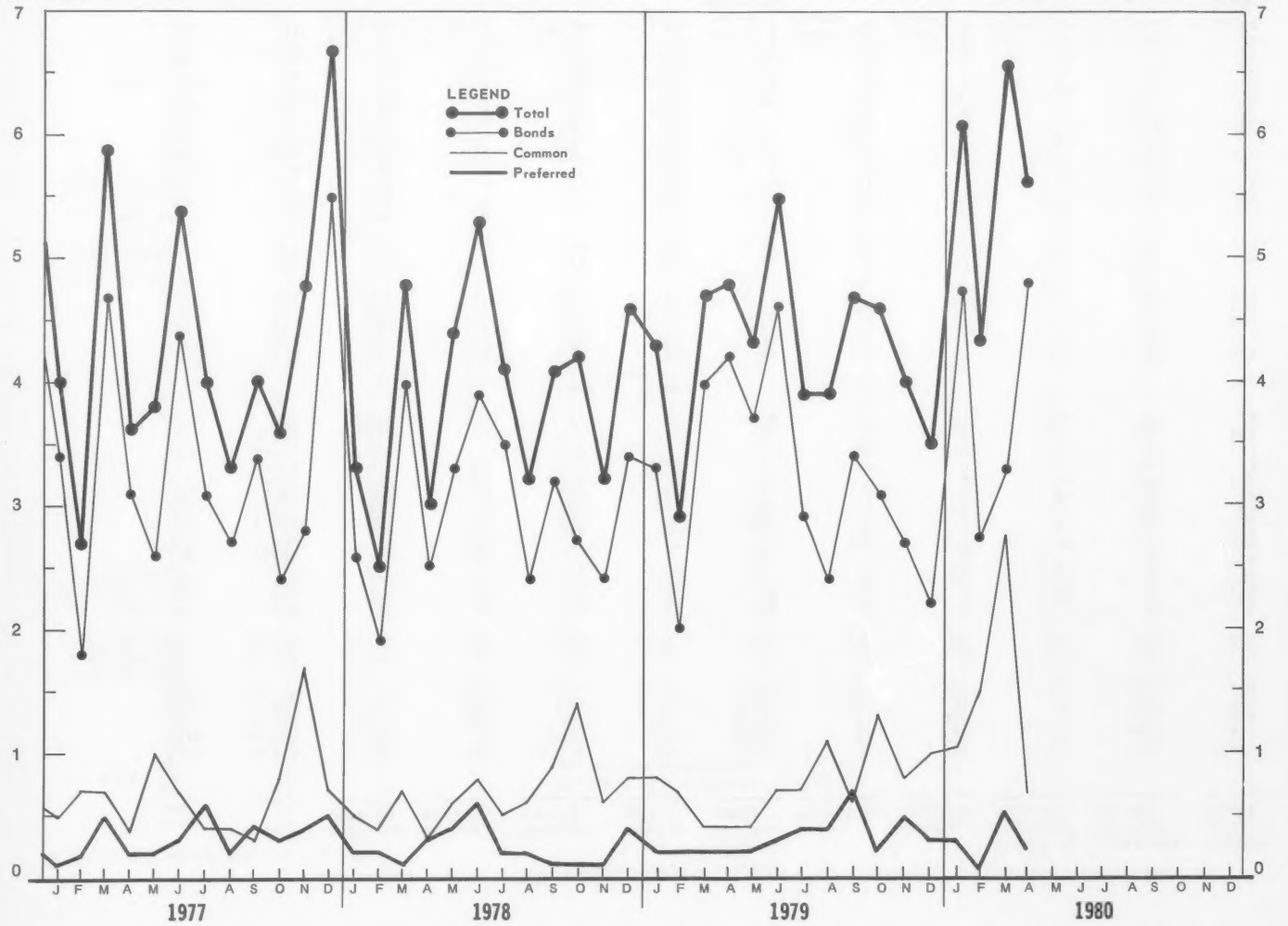
M-170: GROSS PROCEEDS FROM PRIMARY CORPORATE COMMON STOCK OFFERINGS, BY INDUSTRY: 1975-1980

	Total	Publicly Offered	Privately Placed	Manufacturing	Extractive	Electric Gas And Water	Transportation	Communication	Sales- & Consumer Finance	Financial And Real Estate	Commercial & Other
				Amounts in $ millions							
1975	7,413	7,178	236	1,134	875	3,714	1	889	1	361	440
1976	8,305	8,296	9	1,893	606	4,060	11	666	0	637	428
1977	8,047	7,557	188	650	1,310	4,064	14	975	3	748	284
1978	7,937	7,760	178	842	1,556	3,541	117	47	+	1,477	356
1979	8,709	8,581	127	978	1,809	3,395	243	270	1	1,206	804
Year to date											
1979 Jan-Apr	2,334	2,329	4	197	361	1,062	55	170	0	365	122
1980 Jan-Apr	6,033	5,990	42	2,706	1,047	1,348	126	97	0	353	355
1979 Apr	424	424	0	36	78	204	0	0	0	78	29
May	410	407	3	21	96	123	41	2	0	21	106
Jun	613	610	3	47	215	176	3	30	0	85	57
Jly	606	591	15	11	70	342	0	34	0	53	96
Aug	1,055	975	80	179	116	382	142	1	0	85	150
Sep	589	589	0	124	169	187	0	8	0	61	39
Oct	1,274	1,269	5	133	371	510	0	22	1	169	67
Nov	784	784	0	146	121	425	2	2	0	62	27
Dec	1,044	1,027	17	120	290	188	0	1	0	305	140
1980 Jan	1,089	1,047	42	280	290	302	59	0	0	135	23
Feb	1,508	1,508	0	380	313	562	58	39	0	43	112
Mar	2,757	2,756	+	1,965	242	275	0	5	0	108	162
Apr	679	679	0	81	202	209	9	53	0	67	58
				Number of issues							
1975	432	423	9	68	90	116	1	7	1	61	88
1976	465	462	3	102	68	101	3	3	0	66	122
1977	477	468	9	88	83	84	7	12	2	78	123
1978	613	602	11	131	110	86	12	6	1	114	153
1979	727	716	11	160	149	79	12	15	1	117	194
Year to date											
1979 Jan-Apr	201	198	3	44	41	26	3	2	0	35	50
1980 Jan-Apr	307	303	4	64	75	28	6	7	0	50	77
1979 Apr	52	52	0	8	13	6	0	0	0	10	15
May	63	60	3	10	15	4	3	1	0	11	19
Jun	60	59	1	13	15	6	1	1	0	6	18
Jly	59	58	1	6	12	9	0	1	0	8	23
Aug	70	69	1	19	16	6	2	1	0	12	14
Sep	63	63	0	16	17	4	1	2	0	8	15
Oct	73	72	1	19	11	8	1	3	1	9	21
Nov	60	60	0	15	5	12	1	2	0	11	14
Dec	78	77	1	18	17	4	0	2	0	17	20
1980 Jan	68	65	3	15	14	6	1	0	0	13	19
Feb	78	78	0	13	20	10	3	2	0	9	21
Mar	77	76	1	17	19	7	0	2	0	17	15
Apr	84	84	0	19	22	5	2	3	0	11	22

+ = Less than $500,000
Figures may not add due to rounding

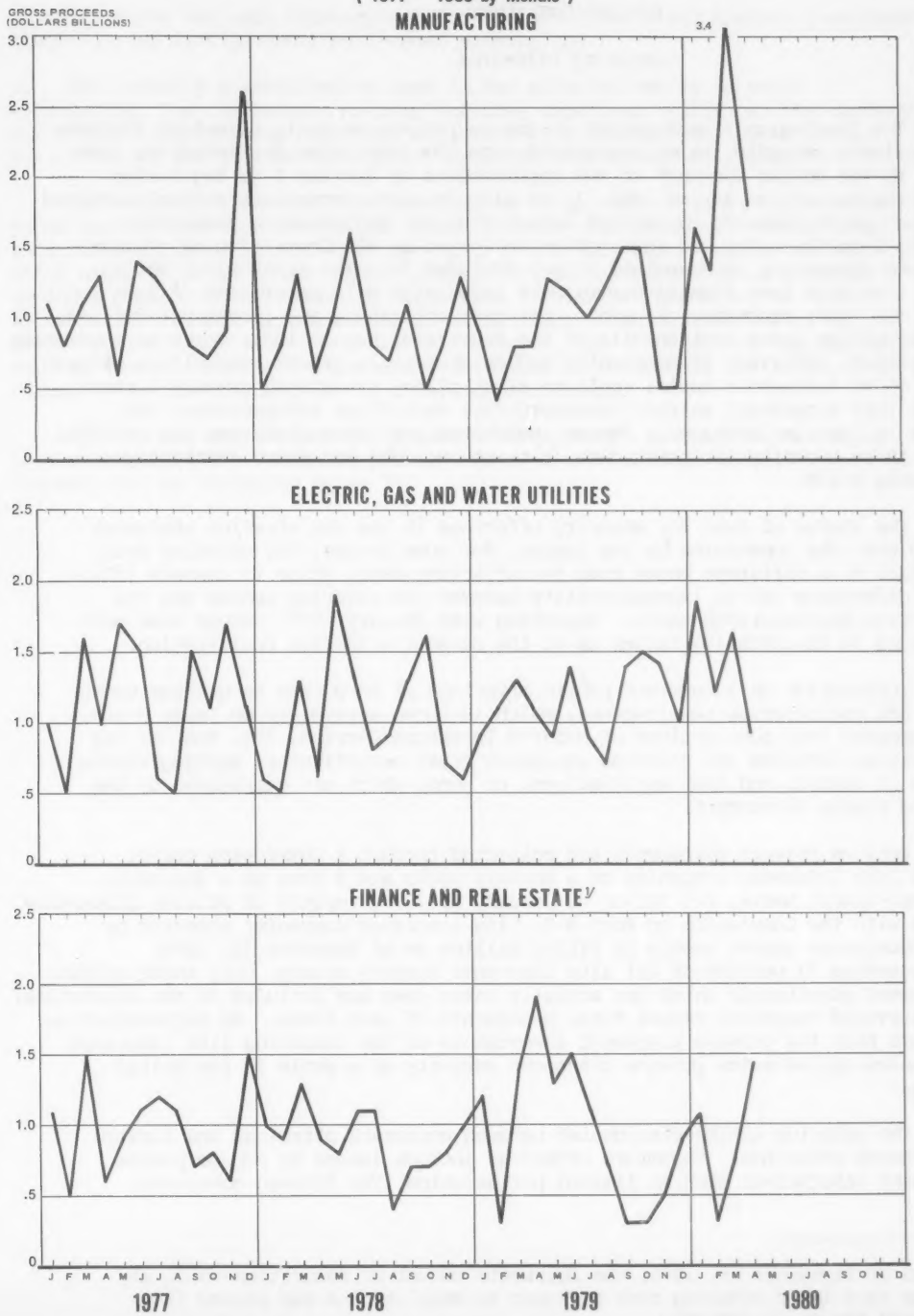
(See Explanatory Notes)

CORPORATE OFFERINGS IN THE UNITED STATES BY MONTHS 1977 – 1980



NEW CORPORATE SECURITIES OFFERED FOR CASH SALE BY INDUSTRY OF ISSUER

(1977 – 1980 by Months)



1/ Includes sales and consumer finance.

EXPLANATORY NOTES

Security Offerings

The Commission's statistical series on primary security offerings includes all primary security issues registered with the Commission for immediate cash sale to the public pursuant to the requirements of Section 5 or Regulation A of the Securities Act of 1933. 1/ It also includes securities publicly offered in interstate commerce by issuers exempt from the registration provisions of the Securities Act and information collected by the Commission on certain private placements as described below. Excluded from the statistical series are: issues of less than $100,000; debt securities with maturities of less than one year; secondary offerings; non-cash offerings; and issues not defined as securities under Section 2(1) of the Securities Act of 1933. Domestic government securities, offerings of non-profit and/or charitable groups; securities offered only on an intrastate basis; employee stock plans; investment company issues other than closed-end shares; intracorporate securities transactions; and sales to foreign purchasers. Issues registered and later withdrawn are excluded from these security offerings data, but are included and shown separately on Table M-186.

The source of data for security offerings is the registration statement filed with the Commission by the issuer. For some issues, the offering date may fall in a different month than the effective date. Prior to January 1977, this difference led to incomparability between the offering tables and the Effective Registrations table. Beginning with January 1977, issues have been included in the offering tables as of the date of effective registration.

Information on interstate public offerings of corporate securities exempt from SEC registration requirements, which is shown separately on Table M-130, is obtained from publications of Moody's Investors Service, Inc. Most of the securities included are railroad equipment trust certificates, mortgage-backed bonds of savings and loan associations, or bonds which are guaranteed by the United States Government.

Data on private placements are collected through a Commission survey of 39 life insurance companies on a monthly basis and 9 more on a quarterly or semi-annual basis, and (prior to January 1977) by reports of private placements filed with the Commission on Form 8-K. Life insurance companies surveyed by the Commission report assets of $283.8 billion as of December 31, 1978, representing 73 percent of all life insurance company assets. Only those private placement commitments which are actually taken down are included in the statistics. The surveyed companies report total placements of each issue. No representation is made that the private placement investments of the reporting life insurance companies approximates private placement activity as a whole in the United States.

The offering tables distinguish between corporate offerings and foreign government offerings. Corporate offerings include issues by non-corporate business enterprises such as limited partnerships. The foreign government

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1/ As of September 18, 1978, the aggregate amount of securities which may be sold in an offering made pursuant to Regulation A was raised from $500,000 to $1,500,000.

classification includes offerings of foreign governments and foreign government agencies, and foreign government-owned enterprises.

The industry classification used in the offering tables is self-explanatory. The communication group includes telephone utilities. The commercial and other industry group comprises argiculture, construction, wholesale and retail trade, and all service activities other than financial services.

The security types used in the offering tables are straight bonds, convertible bonds, preferred stocks and common stocks. The convertible bond category includes only those issues which are convertible into common stock. The preferred stock group includes convertible preferred stock. The common stock category includes common shares issued by closed-end investment companies, as well as securities issued by non-corporate businesses, such as certificates of participation and shares of beneficial interest, and other equity securities such as voting trust certificates and certificates of deposit for stock. Depository receipts are not included in the offerings statistics.

All figures are subject to revision. The private placements statistics will be revised quarterly. Data on public issues will be revised annually. Revised figures will be indicated by an "r"

M-180: SECURITIES REGISTRATIONS FOR CASH SALE: 1975-1980

(Under Securities Act Of 1933)

	Primary Corporate Offerings						Secondary Offerings	Foreign Governments
	Debt	Stock			Warrants And Other Equity Securities	Closed End Investment Companies		
		Preferred	Common					
			Unseasoned	Seasoned				
	Amounts in $ millions							
1975	31,027	3,089	70	6,261	965	52	983	3,547
1976	25,367	2,359	185	7,221	897	44	774	3,827
1977	21,938	2,421	197	6,078	1,554	27	394	4,096
1978	18,878	1,758	296	4,969	2,459	15	558	4,100
1979	24,844	1,963	545	5,306	2,831	11	934	4,272
Year to date								
1979 Jan-Apr	7,911	460	82	1,493	725	10	109	1,379
1980 Jan-Apr	10,486	908	164	2,827	2,946	19	708	697
1979 Apr	3,054	103	16	267	137	0	19	199
May	2,117	79	61	209	114	0	33	1,298
Jun	3,476	116	54	530	251	0	30	300
Jly	1,994	289	141	362	74	0	23	124
Aug	1,539	262	33	609	317	1	45	100
Sep	2,575	231	30	402	155	0	21	498
Oct	2,298	98	30	650	570	0	641	198
Nov	1,691	275	24	564	189	0	11	375
Dec	1,243	153	90	487	436	0	21	0
1980 Jan	2,780	338	27	634	374	0	297	447
Feb	1,308	65	30	1,150	313	0	44	0
Mar	2,505	282	51	643	2,054	0	62	250
Apr	3,893	223	56	400	205	19	305	0
	Number of issues							
1975	485	87	24	187	105	3	65	41
1976	391	71	43	209	96	4	97	50
1977	374	61	58	177	130	2	61	40
1978	339	51	70	222	173	2	81	26
1979	320	55	110	203	191	2	72	23
Year to date								
1979 Jan-Apr	87	14	26	57	61	1	15	9
1980 Jan-Apr	106	22	48	87	82	1	29	4
1979 Apr	34	4	9	16	18	0	7	1
May	29	3	9	13	14	0	4	3
Jun	38	3	9	21	14	0	8	2
Jly	28	8	7	15	13	0	5	1
Aug	27	6	9	18	21	1	14	1
Sep	32	7	9	20	16	0	8	3
Oct	36	4	14	20	18	0	9	1
Nov	24	6	9	21	14	0	2	3
Dec	19	4	18	18	20	0	7	0
1980 Jan	33	7	7	17	23	0	7	3
Feb	17	2	7	25	20	0	7	0
Mar	21	7	16	23	25	0	8	1
Apr	35	6	18	22	14	1	7	0

Figures may not add due to rounding

(See Explanatory Notes)

M-183: SECURITIES REGISTRATIONS FOR EXTENDED CASH SALE: 1975-1980

(Under Securities Act Of 1933)

	Investment Company Issues				Offerings To Employees					
	Open-End	Unit Investment	Face Amount	Variable Annuities	Savings & Thrift Plans	Stock Options Plans	Stock Purchase Plans	Incentive Compens'n Plans	Dividend Reinvest-ment Plans	Dpstry Rec'pts
					Amounts in $ millions					
1975	13,623	2,966	250	834	3,984	3,267	1,383	387	1,705	N.A.
1976	18,138	3,899	407	443	2,159	3,052	2,586	620	856	14
1977	25,169	3,948	154	265	2,954	3,066	906	1,444	1,401	27
1978	N.A.	N.A.	N.A.	N.A.	4,740	3,079	927	670	2,142	18
1979	N.A.	N.A.	N.A.	N.A.	4,895	4,544	1,146	793	3,567	36
Year to date										
1979 Jan-Apr	N.A.	N.A.	N.A.	N.A.	2,569	1,933	365	204	2,352	11
1980 Jan-Apr	N.A.	N.A.	N.A.	N.A.	1,030	939	351	87	2,524	5
1979 Apr	N.A.	N.A.	N.A.	N.A.	1,970	1,530	154	124	1,896	7
May	N.A.	N.A.	N.A.	N.A.	651	961	149	119	318	3
Jun	N.A.	N.A.	N.A.	N.A.	160	381	41	163	297	2
Jly	N.A.	N.A.	N.A.	N.A.	389	419	61	47	100	3
Aug 1/	N.A.	N.A.	N.A.	N.A.	216	193	19	64	65	2
Sep	N.A.	N.A.	N.A.	N.A.	51	125	24	113	56	4
Oct	N.A.	N.A.	N.A.	N.A.	365	241	338	27	40	2
Nov	N.A.	N.A.	N.A.	N.A.	214	145	66	4	112	4
Dec	N.A.	N.A.	N.A.	N.A.	280	146	83	52	227	5
1980 Jan	N.A.	N.A.	N.A.	N.A.	122	364	70	61	161	1
Feb	N.A.	N.A.	N.A.	N.A.	114	232	81	19	80	1
Mar	N.A.	N.A.	N.A.	N.A.	99	240	56	3	121	2
Apr	N.A.	N.A.	N.A.	N.A.	695	103	144	4	2,162	1
					Number of issues					
1975	291	147	8	33	218	540	164	61	36	N.A.
1976	337	222	11	22	183	526	159	78	53	22
1977	397	263	10	21	207	473	148	88	57	37
1978	427	292	5	37	293	435	154	73	89	32
1979	196	318	8	23	302	485	154	70	122	59
Year to date										
1979 Jan-Apr	139	94	4	10	99	144	46	30	49	12
1980 Jan-Apr	57	71	2	2	61	104	30	13	55	8
1979 Apr	55	18	3	3	60	67	17	16	29	3
May	15	27	1	9	50	74	25	6	18	5
Jun	14	36	3	3	25	53	16	7	13	3
Jly	8	27	0	0	29	61	12	6	7	5
Aug 1/	8	35	0	0	31	43	11	6	5	5
Sep	1	24	0	0	14	24	7	5	3	7
Oct	4	25	0	0	15	34	11	4	3	4
Nov	5	30	0	0	21	28	13	3	10	9
Dec	2	20	0	1	18	24	13	3	14	9
1980 Jan	6	28	0	0	11	39	11	7	13	2
Feb	18	6	0	0	13	28	6	2	6	1
Mar	7	17	0	0	11	15	8	3	9	4
Apr	26	20	2	2	26	22	5	1	27	1

N.A. = Not available
+ = Less than $500,000
Figures may not add due to rounding

(See Explanatory Notes)

1/ See note on table M-186

M-186: TOTAL REGISTRATIONS AND NON-CASH ISSUES: 1975-1980

(Under Securities Act Of 1933)

	Total Effective Registrations	Exchange Transactions	Reserve For Conversions	Warrants, Options And Others	Secondary Offerings	Withdrawals
			Amounts in $ millions			
1975	81,872	3,543	2,463	626	840	N.A.
1976	91,382	17,658	1,954	644	1,200	0
1977	88,104	8,373	2,420	599	705	37
1978	61,151	11,958	2,509	1,367	735	30
1979	82,201	15,429	4,975	2,918	730	480
Year to date						
1979 Jan-Apr	27,784	3,512	1,416	647	144	0
1980 Jan-Apr	31,738	6,598	3,196	373	173	0
1979 Apr	11,474	827	880	245	49	0
May	8,329	1,235	783	129	69	0
Jun	7,371	930	410	145	84	0
Jly	5,732	1,412	195	26	74	0
Aug 1/	6,410	1,461	289	1,118	78	0
Sep	5,568	391	452	421	19	+
Oct	6,363	548	120	152	45	0
Nov	8,138	3,473	694	115	183	4
Dec	6,506	2,467	616	165	34	476
1980 Jan	5,380	1,201	610	104	63	0
Feb	4,528	597	371	78	45	0
Mar	12,344	4,070	1,820	60	26	0
Apr	9,486	730	395	131	39	0
			Number of issues			
1975	N.A.	286	81	122	183	N.A.
1976	3,230	300	77	141	307	0
1977	3,423	333	89	207	192	11
1978	3,700	333	100	285	186	7
1979	3,881	375	131	465	199	3
Year to date						
1979 Jan-Apr	1,186	117	24	102	47	0
1980 Jan-Apr	1,052	123	45	171	54	0
1979 Apr	439	23	11	31	14	0
May	410	35	10	33	27	0
Jun	366	32	12	35	19	0
Jly	323	31	12	25	23	0
Aug 1/	330	21	16	37	16	0
Sep	290	24	15	59	12	1
Oct	297	19	8	49	19	0
Nov	331	38	16	54	25	1
Dec	348	53	18	71	11	1
1980 Jan	207	46	14	42	13	0
Feb	235	14	11	40	12	0
Mar	261	32	9	37	8	0
Apr	349	31	11	52	21	0

Figures may not add due to rounding

(See Explanatory Notes)

1/ Included in total registrations for August 1979 but not shown in the details of Extended Cash Sales in table M-183 is a $1,000,000,000 registration of notes by Citicorp which may be offered from time to time.

M-190: CORPORATE SECURITIES ISSUES BY METHOD OF DISTRIBUTION AND BY TYPE OF SECURITY: 1975-1980

(Primary issues registered under The Securities Act of 1933)

	Underwritten				Agency Best Efforts				Direct By Issuer			
	Total	Debt	Preferred	Common	Total	Debt	Preferred	Common	Total	Debt	Preferred	Common
					Amounts in $ millions							
1975	33,771	29,907	3,073	5,763	1,200	345	0	856	1,491	776	15	677
1976	33,412	23,755	2,342	7,311	1,085	417	5	664	1,554	1,196	7	304
1977	27,603	19,270	2,361	5,975	2,403	1,261	0	1,142	2,189	1,447	22	719
1978	24,194	17,372	1,734	5,090	2,939	693	0	2,246	1,226	816	25	387
1979	29,359	22,957	1,897	5,007	3,212	990	50	2,173	2,413	899	16	1,500
Year to date												
1979 Jan-Apr	8,872	7,104	450	1,320	1,021	430	0	591	773	378	10	386
1980 Jan-Apr	12,463	8,633	900	2,929	1,665	1,009	0	656	3,202	845	8	2,351
1979 Apr	2,965	2,593	103	269	469	369	0	100	141	92	0	49
May	2,233	1,910	79	244	215	165	0	50	133	42	0	91
Jun	3,720	3,291	116	312	247	35	0	212	461	150	0	311
Jly	2,408	1,756	239	413	381	177	50	154	71	60	0	11
Aug	2,378	1,496	259	624	294	29	0	265	88	15	3	70
Sep	3,170	2,532	231	407	205	43	0	163	18	0	0	18
Oct	2,900	2,139	95	665	223	5	0	218	523	154	3	366
Nov	2,385	1,541	275	569	231	80	0	151	126	70	0	56
Dec	1,793	1,188	153	453	395	26	0	369	220	30	+	191
1980 Jan	3,188	2,202	338	648	812	576	0	236	153	2	0	151
Feb	2,515	1,288	65	1,161	217	20	0	197	135	0	0	135
Mar	3,056	2,102	282	672	560	400	0	160	1,918	3	0	1,916
Apr	3,704	3,041	215	448	76	13	0	63	996	840	8	149
					Number of issues							
1975	687	428	35	172	62	8	0	54	146	50	2	93
1976	613	327	68	216	90	19	1	70	109	46	2	61
1977	532	296	55	181	128	35	0	93	140	44	5	91
1978	530	257	45	228	188	37	0	151	137	45	6	86
1979	544	249	50	245	185	27	1	157	149	43	4	102
Year to date												
1979 Jan-Apr	141	67	13	61	52	6	0	46	51	13	1	37
1980 Jan-Apr	209	88	21	100	71	9	0	62	65	9	1	55
1979 Apr	51	29	4	18	14	2	0	12	16	3	0	13
May	41	24	3	14	11	3	0	8	16	2	0	14
Jun	66	35	3	28	12	2	0	10	7	1	0	6
Jly	48	21	7	20	15	4	1	10	8	3	0	5
Aug	48	21	5	22	18	4	0	14	15	2	1	12
Sep	62	30	7	25	20	2	0	18	2	0	0	2
Oct	45	18	3	24	19	1	0	18	28	17	1	10
Nov	51	18	6	27	13	3	0	10	10	3	0	7
Dec	42	15	3	24	25	2	0	23	12	2	1	9
1980 Jan	51	26	7	18	22	6	0	16	14	1	0	13
Feb	48	16	2	30	13	1	0	12	10	0	0	10
Mar	52	16	7	29	21	1	0	20	19	4	0	15
Apr	58	30	5	23	15	1	0	14	22	4	1	17

N.A. = Not available
+ = Less than $500,000
Figures may not add due to rounding

(See Explanatory Notes)

EXPLANATORY NOTES

Registrations

All registrations which became effective under the Securities Act of 1933 are included. Total Effective Registrations, Table M-186, includes cash and non-cash issues. Issues are included as of the effective date.

The primary corporate offerings classification includes registered offerings for immediate cash sale where the proceeds of the offering are received by the issuing corporations. Secondary offerings are registrations of outstanding securities where the proceeds of the issue do not accrue to the issuing company. The dollar amount for either type of offering is based on the initial offering price. The unseasoned common stock classification includes public offerings by companies registering common stock for the first time under the Securities Act of 1933. The other equity securities classification consists of offerings of certificates of participation or beneficial interests, warrants or options, voting trust certificates and other equity securities not elsewhere classified.

Issues offered for extended cash sale include investment company issues, employee plans and depository receipts. Depository receipts include American, European and domestic depository receipts. The foreign government classification is shown separately, whereas registrations by foreign corporations are included with U.S. domestic corporate offerings. Investment company securities include open-end, face-amount and unit trust offerings, as well as variable annuities. 1/ Closed-end investment company securities are included in primary corporate offerings.

Issues registered for other non-cash purposes such as exchange transactions, securities given for property or services, or reserved for conversion are valued at current market prices.

Data on withdrawals, in Table M-186, include the number and amount of registration statements that have been totally deregistered.

1/ Beginning with January 1978, the total dollar amount registered for investment companies was dropped. However, total registrations of investment companies was retained in the tables due to the adoption by the Commission of Rule 24f-2(17 CFR 270.24f-2), effective November 3, 1977. This rule allows certain registered investment companies to register an indefinite number of securities for sale. Each issuer must file a notice at the end of the fiscal year identifying the number of securities sold and remit the corresponding registration fee.

PUBLIC AVAILABILITY OF ROS TAPE

The SEC has prepared two computer tapes containing the New Securities Registrations and Offerings Statistics File ("ROS File"). The ROS File contains a record of each offering registered under the Securities Act of 1933 and each offering exempt from registration under Regulation A of that Act.

The first computer tape of the ROS File contains records from January 1970 to December 1976. Each record contains 54 variables identifying characteristics of the registered issue and its issuer. Variables describing each issue include such items as security type, type of offering, price per share, dollar amount of the offering, the method of distribution and whether the sale is for primary or secondary accounts. CUSIP numbers have also been included for securities which have been assigned such identification. Issuer data includes SIC industry code, firm name and zip code.

The second computer tape includes ROS File data for the calendar years 1977 and 1978. The records for these years have been expanded to include 92 variables. Additional detailed information is provided on offering characteristics including underwriter's name, spread and reallowances. Selected financial data of the issuing firm is also presented.

The computer tapes of the ROS File have been provided to the National Archives and Records Service of the General Services Administration. Copies of the ROS File tapes and related documentation are available for purchase from that agency. Interested parties can make arrangements with the Archives to obtain the computer tapes in a configuration that will be compatible with the user's hardware. Requests for copies should be sent to the Machine Readable Division, National Archives and Records Service, Washington, D.C. 20408. The price per copy is $65.00 (subject to change), payable to the General Services Administration. In ordering, please refer to item Number NN-373-207. Shipment will be made within two weeks of receipt of order.

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THE PRINTING OF THIS PUBLICATION HAS BEEN APPROVED BY THE
DIRECTOR OF THE BUREAU OF THE BUDGET (JAN. 22, 1953)